

Extreme points and rotundity of Orlicz-Musielak sequence spaces*

Wu Congxin, Chen Shutao

(Harbin Institute of Technology) (Harbin Teacher's Univ.)

Abstract A. Kaminska and H. Hudzik [1—10] present a series of work concerning geometry of sequence Orlicz-Musielak spaces. This paper continues their work, to give a character of extreme points of the unit balls of sequence Orlicz-Musielak spaces equipped with Luxemburg norm. From which a criterion of rotundity is obtained immediately.

An extreme point of the unit ball of a Banach space means that it does not lie on any segment with two ends different from it in the unit ball.

Let X be a Banach space, N the set of all natural numbers. Let $\varphi = (\varphi_n): X \times N \rightarrow [0, +\infty]$ be a sequence of Young functions, i.e., φ_n is convex, even and $\varphi_n(0) = 0$ for every $n \in N$. Furthermore, for each $n \in N$, the following conditions are assumed (see[1]):

(a) \exists nonzero $x \in X$ such that $\varphi_n(x) < \infty$,

(b) for each $x \in X$, $\varphi_n(tx): (0, +\infty) \rightarrow [0, +\infty]$ is a left-continuous function of t .

For a sequence $x = (x_n)$ of X , define $I_\varphi(x) = \sum_{n=1}^{\infty} \varphi_n(x_n)$ and

$$I_\varphi = \{x = (x_n) \subset X: \exists \lambda > 0, I_\varphi(\lambda x) < \infty\}$$

$$\|x\|_\varphi = \inf\{\lambda > 0: I_\varphi(x/\lambda) < 1\}, \quad x \in I_\varphi \quad (1)$$

then $(I_\varphi, \|\cdot\|_\varphi)$, so-called sequence Orlicz-Musielak space, is a Banach space (see[1]).

Lemma 1 For each $n \in N$ and $x \in X$, if $\varphi_n(x) < \infty$, then $\varphi_n(\lambda x)$ is a continuous, nondecrease convex function of $\lambda \in [0, 1]$.

Proof Take in mind the fact that φ_n is convex, nonnegative and $\varphi_n(0) = 0$.

Lemma 2 For $n \in N$, $x, y \in X$, if $\varphi_n(x) < \infty$, $\varphi_n(y) < \infty$, then φ_n is continuous on the segment $xy \stackrel{\text{def}}{=} \{ax + (1-a)y: a \in (0, 1)\}$.

Proof Analogously as the case that X is the real line.

Lemma 3 $\|x\|_\varphi < 1$ if and only if $I_\varphi(x) < 1$.

Proof Observe (1) and Lemma 1.

* Received Aug. 13, 1985.

Theorem 1 $x = (x_n)$ in the unit ball $U(I_\varphi)$ of I_φ is an extreme point of $U(I_\varphi)$ if and only if the following conditions are satisfied

- 1° $I_\varphi(x) = 1$ or x_n is an extreme point of $\{w \in X; \phi_n(w) < \infty\}$ for all $n \in \mathbb{N}$.
- 2° φ_n is not constant on any segment in X of which x_n is the midpoint for all $n \in \mathbb{N}$.
- 3° there is at most one number $n \in \mathbb{N}$ such that x_n is not a strictly convex point of φ_n , i.e., there exist two points y, z in X such that $x_n = \frac{1}{2}(y + z)$ and $\varphi_n(\frac{1}{2}(y + z)) = \frac{1}{2}\varphi_n(y) + \frac{1}{2}\varphi_n(z)$.

Proof Necessity. Let $x = (x_n)$ be an extreme point of $U(I_\varphi)$. If 1° does not hold, then $I_\varphi(x) < 1$ and there exist $n \in \mathbb{N}$ and two points u, v in X such that $x_n = \frac{1}{2}(u + v)$ and $\varphi_n(u) < \infty, \varphi_n(v) < \infty$. Since φ_n is continuous on \overline{uv} , $\exists y_n, z_n \in \overline{uv}$ ($y_n \neq z_n$), $x_n = \frac{1}{2}(y_n + z_n)$ so closed to x_n that

$$\varphi_n(y_n) < \varphi_n(x_n) + [1 - I_\varphi(x)], \quad \varphi_n(z_n) < \varphi_n(x_n) + [1 - I_\varphi(x)]. \quad (2)$$

Define $y_m = z_m = x_m$ ($m \neq n$) and $y = (y_l), z = (z_l)$, then $y \neq z, x = \frac{1}{2}(y + z)$ and by (2), $I_\varphi(y) = I_\varphi(x) - \varphi_n(x_n) + \varphi_n(y_n) < 1$ therefore, by Lemma 3, $\|y\|_\varphi < 1$. Similarly, it is verified that $\|z\|_\varphi < 1$ contradicting the hypothesis that x is an extreme point of $U(I_\varphi)$.

If 2° is not true, then there exist $n \in \mathbb{N}$ and two points y_n, z_n in X with $x_n = \frac{1}{2}(y_n + z_n)$ such that $\varphi_n(x_n) = \varphi_n(y_n) = \varphi_n(z_n)$. Define $y_m = z_m = x_m$ ($m \neq n$) and $y = (y_l), z = (z_l)$, then $y \neq z, x = \frac{1}{2}(y + z)$ and $I_\varphi(y) = I_\varphi(z) = I_\varphi(x) < 1$, also a contradiction.

If 3° fails to be satisfied, then there exist two numbers $m, n \in \mathbb{N}$ and u_m, v_m, u_n, v_n ($u_m \neq v_m, u_n \neq v_n$) such that $x_m = \frac{1}{2}(u_m + v_m), x_n = \frac{1}{2}(u_n + v_n)$ and such that

$$\varphi_m(x_m) = \frac{1}{2}\varphi_m(u_m) + \frac{1}{2}\varphi_m(v_m), \quad \varphi_n(x_n) = \frac{1}{2}\varphi_n(u_n) + \frac{1}{2}\varphi_n(v_n) \quad (3)$$

Clearly, φ_m is linear on $\overline{u_m v_m}$ and φ_n is linear on $\overline{u_n v_n}$. Without loss of generality, we may assume

$$\varphi_n(u_n) \geq \varphi_n(v_n), \quad \varphi_m(u_m) < \varphi_m(v_m), \quad \varphi_n(u_n) + \varphi_m(u_m) \geq \varphi_n(v_n) + \varphi_m(v_m) \quad (4)$$

(otherwise, exchange the places of u_n and v_n or u_m and v_m). Let

$$f(\lambda) = \varphi_n(\lambda u_n + (1 - \lambda)x_n) + \varphi_m(u_m), \quad g(\lambda) = \varphi_n(\lambda v_n + (1 - \lambda)x_n) + \varphi_m(v_m)$$

then $f(\lambda)$ and $g(\lambda)$ are continuous on $[0, 1]$ and by (4), $f(1) \geq g(1)$ and $f(0) < g(0)$. Hence, there exists $\lambda_0 \in [0, 1]$ such that $f(\lambda_0) = g(\lambda_0)$. Denote $u_0 = \lambda_0 u_n + (1 - \lambda_0)x_n, v_0 = \lambda_0 v_n + (1 - \lambda_0)x_n$, then

$$\frac{1}{2}(u_0 + v_0) = \lambda_0 \frac{1}{2}(u_n + v_n) + (1 - \lambda_0)x_n = \lambda_0 x_n + (1 - \lambda_0)x_n = x_n$$

therefore, by (3)

$$\varphi_n(x_n) = \frac{1}{2}\varphi_n(u_0) + \frac{1}{2}\varphi_n(v_0) \quad (5)$$

Define $y_n = u_0$, $z_n = v_0$, $y_m = u_m$, $z_m = v_m$, $y_k = z_k = x_k$ ($k \neq n, m$) and $y = (y_i)$, $z = (z_i)$, then $x = \frac{1}{2}(y + z)$ and $y \neq z$ since $u_m \neq v_m$. Moreover, by (3), (5), $I_\varphi(x) = \frac{1}{2}I_\varphi(y) + \frac{1}{2}I_\varphi(z)$. Combine $f(\lambda_0) = g(\lambda_0)$, we understand $I_\varphi(y) = I_\varphi(z) = I_\varphi(x) < 1$, again a contradiction.

Sufficiency. Suppose there exist $y = (y_i)$, $z = (z_i) \in U(I_\varphi)$ such that $x = \frac{1}{2}(y + z)$ and $y \neq z$, i.e., there exists $n \in \mathbb{N}$ such that $y_n \neq z_n$ therefore, by 2°, $\varphi_n(y_n) \neq \varphi_n(z_n)$.

If $I_\varphi(x) \neq 1$, then by 1°, x_n is an extreme point of $\{w \in X: \varphi_n(w) < \infty\}$, therefore, $\varphi_n(y_n) + \varphi_n(z_n) = \infty$ contradicting Lemma 3. If $I_\varphi(x) = 1$, then by Lemma 3 and $1 = I_\varphi(x) \leq \frac{1}{2}I_\varphi(y) + \frac{1}{2}I_\varphi(z)$, $I_\varphi(y) = I_\varphi(z) = 1$ and $\varphi_m(x_m) = \frac{1}{2}\varphi_m(y_m) + \frac{1}{2}\varphi_m(z_m)$ ($m \in \mathbb{N}$). It follows by 3° $x_i = y_i = z_i$ for all i in \mathbb{N} other than n . Recall $\varphi_n(y_n) \neq \varphi_n(z_n)$, we have $I_\varphi(y) > I_\varphi(x) = 1$ or $I_\varphi(z) > I_\varphi(x) = 1$ contradicting the fact $y, z \in U(I_\varphi)$ in view of Lemma 3 completing the proof.

Definition We say $\varphi = (\varphi_n)$ satisfies condition Δ , if there exist $\lambda > 1$, $K > 1$, $a > 0$ and a convergent series $\sum_{n=1}^{\infty} c_n$ such that for all large n , we have $\varphi_n(\lambda u) < K\varphi_n(u) + c_n$ for all u in X satisfying $\varphi_n(u) < a$.

For $\lambda > 1$, $K > 1$ and $a > 0$, define

$$h_n(\lambda, K, a) = \sup\{\varphi_n(\lambda x) : \varphi_n(\lambda x) > K\varphi_n(x), \varphi_n(x) < a, x \in X\} \quad (6)$$

Where we provide $\sup_{t \in E} t = \infty$ when set E is unbounded.

Theorem 2 The following are equivalent

(I) φ does not satisfy condition Δ ,

(II) for any $\lambda > 1$, $K > 1$, $a > 0$ and $m \in \mathbb{N}$, $\sum_{n=m}^{\infty} h_n(\lambda, K, a) = \infty$,

(III) there exists $x = (x_n)$ in I_φ such that $\|(0, \dots, 0, x_m, x_{m+1}, \dots)\|_\varphi = 1$ for all $m \in \mathbb{N}$.

Proof (I) \Rightarrow (II). If (II) is not true, then there exist $\lambda > 1$, $K > 1$, $a > 0$ and $m \in \mathbb{N}$ such that $\sum_{n=m}^{\infty} h_n(\lambda, K, a) < \infty$. Given $n > m$ and $u \in X$ satisfying $\varphi_n(u) < a$, if $\varphi_n(\lambda u) > h_n(\lambda, K, a)$, then by (6), $\varphi_n(\lambda u) < K\varphi_n(u) + h_n(\lambda, K, a)$. This inequality of course holds when $\varphi_n(\lambda u) < h_n(\lambda, K, a)$ contradicting (I).

(II) \Rightarrow (III). Since $\sum_{n=1}^{\infty} h_n(2, 2^2, \frac{1}{2^2}) = \infty$, there exists $N_1 > 1$ such that $\sum_{n=1}^{N_1} h_n(2, 2^2, \frac{1}{2^2}) > 1$ and $\sum_{n=1}^{N_1-1} h_n(2, 2^2, \frac{1}{2^2}) < 1$ (where $N_1 = 1$ may happen, in this case, we always provide $\sum_{n=1}^{i-1} a_n = 0$). Similarly, since $\sum_{n=N_1+1}^{\infty} h_n(1 + \frac{1}{2}, 2^3, \frac{1}{2^3}) = \infty$, there exists $N_2 > N_1 + 1$ such that $\sum_{n=N_1+1}^{N_2} h_n(1 + \frac{1}{2}, 2^3, \frac{1}{2^3}) > 1$ and $\sum_{n=N_1+1}^{N_2-1} h_n(1 + \frac{1}{2}, 2^3, \frac{1}{2^3}) < 1$

In the same way, we may choose $N_3 \geq N_2 + 1$ such that $\sum_{n=N_2+1}^{N_3} h_n(1 + \frac{1}{2}, 2^4, \frac{1}{2^4}) > 1$ and $\sum_{n=N_1+1}^{N_2-1} h_n(1 + \frac{1}{3}, 2^4, \frac{1}{2^4}) < 1, \dots$. It follows by (6) there exists $x_n \in X$ such that $\varphi_n(x_n) < 1/2^{i+2}$, $\varphi_n((1 + \frac{1}{i+1})x_n) < 2^{i+2}\varphi_n(x_n)$ and

$$\sum_{n=N_i+1}^{N_{i+1}-1} \varphi_n((1 + \frac{1}{i+1})x_n) > 1, \quad \sum_{n=N_i+1}^{N_{i+1}-1} \varphi_n((1 + \frac{1}{i+1})x_n) < 1 \quad (7)$$

for all n in N satisfying $N_i + 1 \leq n < N_{i+1}$ and all $i = 0, 1, 2, \dots$ where $N_0 = 0$.

Defining $x = (x_n)$, by (7) and $\varphi_{N_{i+1}}(x_{N_{i+1}}) < 1/2^{i+2}$, we have

$$\begin{aligned} I_\varphi(x) &= \sum_{i=0}^{\infty} \left[\sum_{n=N_i+1}^{N_{i+1}-1} \varphi_n(x_n) \right] < \sum_{i=0}^{\infty} \left[\sum_{n=N_i+1}^{N_{i+1}-1} \frac{1}{2^{i+2}} \varphi_n((1 + \frac{1}{i+1})x_n) + \varphi_{N_{i+1}}(x_{N_{i+1}}) \right] \\ &< \sum_{i=0}^{\infty} \left[\frac{1}{2^{i+2}} + \frac{1}{2^{i+2}} \right] = 1 \end{aligned}$$

Therefore, $\|x\|_\varphi < 1$. On the other hand, for any $\lambda > 1$ and m in N , there exists $i_0 \geq m$ such that $1 + 1/(i_0 + 1) < \lambda$, it follows by (7),

$$\sum_{n=m}^{\infty} \varphi_n(\lambda x_n) \geq \sum_{n=N_{i_0}+1}^{\infty} \varphi_n(\lambda x_n) \geq \sum_{i=i_0}^{\infty} \sum_{n=N_i+1}^{N_{i+1}-1} \varphi_n((1 + \frac{1}{i+1})x_n) > \sum_{i=i_0}^{\infty} 1 = \infty$$

Recall (1), we have $\|(0, \dots, 0, x_m, x_{m+1}, \dots)\|_\varphi = 1$.

(III) \Rightarrow (I). If φ satisfies condition Δ , then there exist $\lambda > 1$, $K > 1$, $a > 0$,

$N_1 \in N$ and $c_n \geq 0$ ($n \in N$) with $\sum_{n=1}^{\infty} c_n < \infty$ such that $\varphi_n(\lambda u) < K\varphi_n(u) + c_n$ for all u in X satisfying $\varphi_n(u) < a$ and all $n \geq N_1$.

Given $x = (x_n)$ in I_φ , choose $m \geq N_1$ such that $\sum_{n=m}^{\infty} c_n < \frac{1}{2}$, $K \sum_{n=m}^{\infty} \varphi_n(x_n) < \min(\frac{1}{2}, a)$, then

$$\sum_{n=m}^{\infty} \varphi_n(\lambda x_n) < \sum_{n=m}^{\infty} [K\varphi_n(x_n) + c_n] < \frac{1}{2} + \frac{1}{2} = 1$$

By (1), $\|(0, \dots, 0, x_m, x_{m+1}, \dots)\|_\varphi < \frac{1}{\lambda} < 1$ contradicting (III).

Theorem 3 I_φ is rotund iff the following conditions are provided

- (i) $\sup\{\lambda: \varphi_n(\lambda u) < \infty\} < 1$ for all nonzero u in X with $\varphi_n(u) < 1$ and all n in N ,
- (ii) φ satisfies condition Δ ,
- (iii) φ_n is not constant on any segment in $\{w \in X: \varphi_n(w) < 1\}$ for all n in N ,
- (iv) For any two points i, j in N and each (u, v) in $\{(x, y): \varphi_i(x) + \varphi_j(y) < 1, x, y \in X\}$, u is a strictly convex point of φ_i or v is a strictly convex point of φ_j .

Proof Necessity. If (i) is not true, then there exists i in N and x_i in X such that $\varphi_i(x_i) < 1$ and $\varphi_i(\lambda x) = \infty$ for all $\lambda > 1$. Define $x_n = 0$ ($n \neq i$) and $x = (x_n)$,

then by (1), $\|x\|_\varphi = 1$. On the other hand, for any j in N other than i , by the definition of φ_j , there exists nonzero u in X such that $\varphi_j(-u) = \varphi_j(u) < \infty$, therefore, $x_j = 0 = \frac{1}{2}u + \frac{1}{2}(-u)$ is not an extreme point of $\{w \in X; \varphi_j(w) < \infty\}$. Combine $I_\varphi(x) = \varphi_i(x_i) < 1$ with 1° in Theorem 1, x is not an extreme point of $U(I_\varphi)$, a contradiction.

If (ii) does not hold, by (III) in Theorem 2, there exists $m > 1$ and $x = (x_n)$ in I_φ such that $\sum_{n=m}^{\infty} \varphi_n(x_n) < 1$ and $\|(0, \dots, 0, x_m, x_{m+1}, \dots)\|_\varphi = 1$. Analogously as in the case (i), it is easy to verify that x is not an extreme point of $U(I_\varphi)$.

If (iii) does not hold, then there exist i in N and two points u, v in $\{w \in X; \varphi_i(w) < 1\}$ such that φ_i is constant on \overline{uv} . For any j in different from i and nonzero x' in X , let $\lambda_0 = \sup\{\lambda > 0; \varphi_j(\lambda x') + \varphi_i(\frac{u+v}{2}) < 1\}$ and define $x_i = \frac{1}{2}(u+v)$, $x_j = \lambda_0 x'$, $x_k = 0$ ($k \neq i, j$) and $x = (x_n)$ then by the definition of λ_0 and (1), it is easily verified that $\|x\|_\varphi = 1$ and by 2° in Theorem 1, that x is not an extreme point of $U(I_\varphi)$.

If (iv) does not hold, then there exist two points i, j in N and x_i, x_j in X such that $\varphi_i(x_i) + \varphi_j(x_j) < 1$. Choose k in N other than i, j and nonzero w in X let $\lambda_0 = \sup\{\lambda > 0; \varphi_i(x_i) + \varphi_j(x_j) + \varphi_k(\lambda w) < 1\}$ and define $x_k = \lambda_0 w$, $x_m = 0$ ($m \neq i, j, k$), $x = (x_n)$, then it is similarly verified that x norms 1 not being an extreme point of $U(I_\varphi)$.

Sufficiency. For given $x = (x_n) \in I_\varphi$ with $\|x\|_\varphi = 1$, we have to show that x is an extreme point of $U(I_\varphi)$ which is equivalent to verify 1°, 2°, 3° in Theorem 1.

1°. we show $I_\varphi(x) = 1$. By (ii), there exist $\lambda > 1, a > 0, m \in N$ and $c_n > 0$ ($n \in N$) with $\sum_{n=1}^{\infty} c_n < \infty$ such that $\varphi_n(\lambda u) < K\varphi_n(u) + c_n$ whenever $n > m$, u in X with $\varphi_n(u) < a$. If $I_\varphi(x) < 1$, then there exists $N_1 \in N$, $N_1 > m$ such that

$$\sum_{n=N_1}^{\infty} [K\varphi_n(x_n) + c_n] < \frac{1}{2} [1 - I_\varphi(x)] \quad \sum_{n=N_1}^{\infty} \varphi_n(x_n) < a$$

for each n in N , since $\varphi_n(x_n) < I_\varphi(x) < 1$, by condition (i), there exists $\lambda_n > 1$ such that $\varphi_n(\lambda_n x_n) < \infty$ therefore, $\sum_{n=1}^{N_1-1} \varphi_n(\lambda x_n)$ is a continuous function of $\lambda \in [0, \min_{n < N_1} \lambda_n]$. Since $\min_{n < N_1} \lambda_n > 1$, there exists $\lambda_0 > 1$ such that $\sum_{n=1}^{N_1-1} \varphi_n(\lambda_0 x_n) < \sum_{n=1}^{N_1-1} \varphi_n(x_n) + \frac{1}{2} [1 - I_\varphi(x)]$. Define $\lambda^* = \min(\lambda', \lambda_0)$, then

$$I_\varphi(\lambda^* x) < \sum_{n=1}^{N_1-1} \varphi_n(\lambda_0 x_n) + \sum_{n=N_1}^{\infty} \varphi_n(\lambda' x_n) < \sum_{n=1}^{N_1-1} \varphi_n(x_n) + \frac{1}{2} [1 - I_\varphi(x)]$$

$$+ \sum_{n=N_1}^{\infty} [K\varphi_n(x_n) + c_n] < \sum_{n=1}^{N_1-1} \varphi_n(x_n) + [1 - I_{\varphi}(x)] \leq 1$$

Hence, $\|x\|_{\varphi} \leq \frac{1}{\lambda^*} < 1$ contradicting $\|x\|_{\varphi} = 1$.

2°. For each n in N , since $\varphi_n(x_n) \leq 1$, by (iii), φ_n is not a constant on any segment of which x_n is the midpoint.

3°. If there exists some i in N such that x_i is not a strictly convex point, then for any j in N different from i , by (iv) and $\varphi_i(x_i) + \varphi_j(x_j) \leq 1$, x_j is a strictly convex point of φ_j .

Combining 1°, 2°, 3° proves the theorem.

References

- 1 A. Kaminska, Rotundity of Orlicz-Musielak sequence spaces, Bull. Ac. Pol. Math., 29, No. 3 - 4 (1981), 137 - 144.
- 2 ---, On uniform convexity of Orlicz spaces, Indag. Math., 44 (1) (1982), 27 - 36.
- 3 ---, Flat Orlicz-Musielak sequence spaces, Bull. Ac. Pol. Math., Mo. 7 - 8 (1982), 347 - 352.
- 4 ---, Strict convexity of sequence Orlicz-Musielak spaces with Orlicz norm, J. Funct. Anal., 50, No. 3 (1983), 285 - 305.
- 5 ---, The criteria for local uniform rotundity of Orlicz spaces, Studia Math., in press.
- 6 ---, Uniform rotundity in every direction of sequence Orlicz spaces, Bull. Ac. Pol. Math., in press.
- 7 ---, Uniform rotundity of Musielak-Orlicz sequence spaces, J. Approx. Theory, in press.
- 8 H. Hudzik, Musielak-Orlicz spaces isomorphic to strictly convex spaces, Bull. Ac. Pol. Math., 29 No. 9 - 10 (1981), 465 - 470.
- 9 ---, Flat Musielak-Orlicz spaces under Luxemburg's norm, ibid. 32 No. 3 - 4 (1984), 203 - 208.
- 10 ---, Uniformly non-1⁽¹⁾_n Orlicz spaces with Luxemburg norm, Studia Math., to appear.