

## Zeros of Perturbed $m$ -Accretive Operators \*

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**Abstract:** In this paper, we give a full answer to a question posed by Kartsatos<sup>[1]</sup>, and weaken the conditions of Kartsatos' theorem.

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### 1. Introduction

Let  $X$  stand for a real Banach space with norm  $\|\cdot\|$  and normalized duality  $J$ . The symbols  $\partial D$  and  $\bar{D}$  denote the boundary and closure of the set  $D$ , respectively. An operator  $T : D(T) \subset X \rightarrow 2^X$  is accretive if for every  $x, y \in D(T)$  there exists  $j \in J(x - y)$  such that  $(u - v, j) \geq 0$  for every  $u \in Tx, v \in Ty$ . An accretive operator  $T$  is called  $m$ -accretive if  $R(T + \lambda I) = X$  for every  $\lambda > 0$ , where  $I$  denotes the identity operator on  $X$ . It is called  $m$ -dissipative if  $-T$  is  $m$ -accretive. For an  $m$ -accretive operator  $T$ , the resolvents  $J_\lambda : X \rightarrow D(T)$  of  $T$  are defined by  $J_\lambda = (I + \lambda T)^{-1}$  for all  $\lambda \in (0, \infty)$ .  $J_\lambda$  is a nonexpansive mapping on  $X$  for all  $\lambda > 0$ . The operator  $T_\lambda = \frac{1}{\lambda}(I - J_\lambda)$  is a globally Lipschitz mapping with  $T_\lambda x \in TJ_\lambda x$  for every  $x \in X$ . An operator  $C : D(C) \subset X \rightarrow X$  is compact if it is continuous and maps bounded subsets of  $D(C)$  onto relatively compact sets. We denote by  $B_r(0)$  the open ball of  $X$  with center at zero and radius  $r > 0$ .

Kartsatos noted in [1] that it would be very interesting to know anything about the relationship between the boundary condition

$$[I - (T + C)](D(T) \cap \partial B_r(0)) \subset \overline{B_r(0)}, \quad (1)$$

and the following inner product condition: for every  $x \in D(T) \cap \partial B_r(0)$  there exists  $j \in Jx$  such that

$$(u + Cx, j) \geq 0 \quad (2)$$

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for every  $u \in Tx$ . This last boundary condition and its other extensions provide the standard criteria for the existence of zeros of the operator  $T + C$ .

In this paper, we first point out that (1) actually is a norm condition and (2) can be deduced from (1), but the converse is not necessarily true. In this sense, the norm condition is stronger than the inner condition. After that, we give under an inner condition an existence theorem of zeros without the convex set condition in Theorem 1 in [1].

## 2. Main results

**Theorem 1** Let  $T : D(T) \subset X \rightarrow 2^X, C : \overline{B_r(0)} \rightarrow X$ . If

$$[I - (T + C)](D(T) \cap \partial B_r(0)) \subset \overline{B_r(0)} \quad (1)$$

then for every  $x \in D(T) \cap \partial B_r(0)$  there exists  $j \in Jx$  such that

$$(u + Cx, j) \geq 0 \quad (2)$$

for every  $u \in Tx$ . Conversely, it is not necessarily true.

**Proof** For every  $x \in D(T) \cap \partial B_r(0)$  and every  $u \in Tx$ , we have from (1) that  $\|x - u - Cx\| \leq r$ , this means that (1) is a norm condition.

If there exist  $x_0 \in D(T) \cap \partial B_r(0)$  and  $u_0 \in Tx_0$  such that  $(u_0 + Cx_0, j) < 0$  for every  $j \in Jx_0$ , then  $\|x_0 - u_0 - Cx_0\| \|x_0\| \geq (x_0 - u_0 - Cx_0, j) > (x_0, j) = \|x_0\|^2 = r^2$ , and hence  $\|x_0 - u_0 - Cx_0\| > r$ , which is a contradiction.

Conversely, consider a counterexample. Let  $T : (-\frac{\pi}{2}, \frac{\pi}{2}) \rightarrow R$  be defined by  $Tx = tgx$  which is  $m$ -accretive and  $C = 0$  which is compact. It is obvious that there exists  $x_0 \in (\frac{\pi}{4}, \frac{\pi}{2})$  such that  $|x_0 - tgx_0| = tgx_0 - x_0 > x_0$ , but  $x_0tgx_0 > 0$  and  $(-x_0)tg(-x_0) > 0$ .  $\square$

**Theorem 2** Let  $G$  be a bounded, open subset of  $X$ . Let  $T : D(T) \subset X \rightarrow 2^X$  be  $m$ -accretive and  $C : \overline{G} \rightarrow X$  compact. Assume that  $x_0 \in D(T) \cap G$  and for every  $x \in D(T) \cap \partial G$ , there exists  $j \in J(x - x_0)$  such that

$$(u + Cx, j) \geq 0 \quad (3)$$

for every  $u \in Tx$ , then  $0 \in \overline{R(T + C)}$ . If instead of the compactness of  $C$ , let  $J_1 = (I + T)^{-1}$  be compact and  $C$  be continuous and bounded, then  $0 \in R(T + C)$ .

**Proof** We may assume that  $x_0 = 0$  and  $0 \in T0$ . In fact, if one of these is not true, we may consider instead the operators  $\tilde{T}$  and  $\tilde{C}$  defined on  $D(\tilde{T})$  and  $\tilde{G}$ , respectively, where  $D(\tilde{T}) = D(T) - x_0, \tilde{G} = G - x_0, \tilde{T}x = T(x + x_0) - v_0, \tilde{C}x = C(x + x_0) + v_0$ . Here  $v_0$  is a fixed point in  $Tx_0$ . It can be inferred from the proof of Theorem 2 in [2] that  $\tilde{J}_1 = (I + \tilde{T})^{-1}$  is compact when  $J_1$  is compact.

Consider the homotopy mapping  $H(t, x) = (tnT + I)^{-1}(-tnCx), (t, x) \in [0, 1] \times \tilde{G}$ , we shall show that (i)  $H(t, \cdot) : \tilde{G} \rightarrow X$  is compact for each  $t \in [0, 1]$ ; (ii)  $H(t, x)$  is continuous, w. r. t.  $t$  at  $t_0 \in [0, 1]$ , uniformly w. r. t.  $x \in \tilde{G}$ ; (iii)  $H : [0, 1] \times \tilde{G} \rightarrow X$  is continuous. To do this, we claim that

$$\|(tnT + I)^{-1}(-tnCx) - (t_0nT + I)^{-1}(-tnCx)\| \leq \frac{2|t - t_0|}{t_0} \|tnCx\| \text{ for } t_0 \in (0, 1].$$

In fact, let  $y_{t_0} = (t_0nT + I)^{-1}(-tnCx)$ ,  $y = (tnT + I)^{-1}(-tnCx)$ , thus

$$\begin{aligned}y_{t_0} &= -tnCx - t_0nT_{t_0n}(-tnCx), \\y_t &= -tnCx - tnT_{tn}(-tnCx), \\y_t - y_{t_0} &= -n(tT_{tn}(-tnCx) - t_0T_{t_0n}(-tnCx)).\end{aligned}$$

Since  $T_{tn}(-tnCx) \in TJ_{tn}(-tnCx) = Ty_t$ ,  $T_{t_0n}(-tnCx) \in TJ_{t_0n}(-tnCx) = Ty_{t_0}$ , and  $T$  is accretive, we can select  $j \in J(y_t - y_{t_0})$  such that  $(T_{tn}(-tnCx) - T_{t_0n}(-tnCx), j) \geq 0$ , then

$$\begin{aligned}\|y_t - y_{t_0}\|^2 &= -n(tT_{tn}(-tnCx) - t_0T_{t_0n}(-tnCx), j) \\&= -nt(T_{tn}(-tnCx) - T_{t_0n}(-tnCx), j) - n(tT_{t_0n}(-tnCx) - t_0T_{t_0n}(-tnCx), j) \\&\leq n|t - t_0|\|T_{t_0n}(-tnCx)\|\|y_t - y_{t_0}\|.\end{aligned}$$

By  $\|T_{t_0n}(-tnCx)\| = \|T_{t_0n}(-tnCx) - T_{t_0n}(0)\| \leq \frac{2}{t_0n}\|tnCx\|$ , we have

$$\|y_t - y_{t_0}\| < \frac{2|t - t_0|}{t_0}\|tnCx\|.$$

Now, (i) follows immediately. And by the claim, for  $t_0 \in (0, 1]$ ,

$$\begin{aligned}\|H(t, x) - H(t_0, x)\| &\leq \|(tnT + I)^{-1}(-tnCx) - (t_0nT + I)^{-1}(-tnCx)\| + \\&\quad \|(t_0nT + I)^{-1}(-tnCx) - (t_0nT + I)^{-1}(-t_0nCx)\| \\&\leq \frac{2|t - t_0|}{t_0}\|tnCx\| + |t - t_0|\|Cx\|.\end{aligned}$$

Since  $G$  is bounded,  $t \in [0, 1]$ ,  $C$  is compact and  $H(0, x) = 0$ , it follows that  $H(t, x)$  is continuous w. r. t.  $t$  at  $t_0 \in [0, 1]$ , uniformly w. r. t.  $x \in \bar{G}$ ; (iii) It is obvious that  $H(t, x)$  is continuous w. r. t.  $x$ , so at  $(t_0, x_0) \in [0, 1] \times \bar{G}$ , for every  $\varepsilon > 0$ , there exists  $\delta_1(t_0, x_0) > 0$  such that  $\|H(t_0, x) - H(t_0, x_0)\| < \frac{\varepsilon}{2}$  whenever  $x \in \bar{G}$  and  $\|x - x_0\| < \delta_1(t_0, x_0)$ . We know from (ii) that there exists  $\delta_2(t_0) > 0$  such that  $\|H(t, x) - H(t_0, x)\| < \frac{\varepsilon}{2}$  whenever  $t \in [0, 1]$  and  $|t - t_0| < \delta_2(t_0)$ . Let  $\delta = \min\{\delta_1, \delta_2\}$ , then when  $|t - t_0| < \delta$ , and  $\|x - x_0\| < \delta$ , we have  $\|H(t, x) - H(t_0, x_0)\| < \varepsilon$ .

Now we show that the equation  $x - H(t, x) = 0$  has no solution  $x_t \in \partial G$  for any  $t \in [0, 1)$ . Assume that  $x_t = H(t, x_t)$  for some  $t \in [0, 1)$  and some  $x_t \in \partial G$ . Since  $t = 0$  is impossible and  $x_t \in \partial G$  implies  $x_t \neq 0$ , we have  $t \in (0, 1)$ . Also  $x_t \in D(T) \cap \partial G$  and for some  $u_t \in Tx_t$ ,  $tu_t + \frac{1}{n}x_t + tCx_t = 0$ . From (3), there exists  $j \in Jx_t$  such that  $\frac{1}{n}\|x_t\|^2 \leq t(x_t + Cx_t, j) + \frac{1}{n}\|x_t\|^2 = 0$ , which is a contradiction to the fact that  $x_t \in \partial G$ .

If  $x - H(1, x) = 0$  has a solution  $x \in \partial G$ ,  $Tx + Cx + \frac{1}{n}x \ni 0$ . Otherwise,  $x - H(1, x) = 0$  has no solution  $x \in \partial G$ , thus from [3, P.144], we have that Leray-Schauder degree  $d(I - H(1, \cdot), G, 0) = d(I - H(0, \cdot), G, 0) = 1$  and the equation  $x - H(1, x) = 0$  is solvable

in  $G$ . This implies that  $Tx + Cx + \frac{1}{n}x \ni 0$  is solvable in  $D(T) \cap \overline{G}$ . We denote the solution by  $x_n$  and let  $n \rightarrow \infty$  ( $\{x_n\}$  is bounded), then  $0 \in \overline{R(T+C)}$ .

On the other hand, instead of the compactness of  $C$ , let  $J_1 = (I+T)^{-1}$  be compact and  $C : \overline{G} \rightarrow X$  continuous and bounded. We observe that the resolvent identity  $J_\lambda x = J_1 \left( \frac{1}{\lambda}x + \frac{\lambda-1}{\lambda}J_\lambda x \right)$  implies that  $J_\lambda$  is compact for  $\lambda > 0$ . Thus, the entire proof above goes through with no change. We complete the proof by lemma 2 in [1].  $\square$

**Corollary 3** Let  $G$  be an open, bounded subset of  $X$ . Let  $T : D(T) \subset X \rightarrow 2^X$  be  $m$ -dissipative with  $(I-T)^{-1}$  compact,  $C : \overline{G} \rightarrow X$  continuous and bounded. Assume that  $0 \in D(T) \cap G$  and for every  $x \in D(T) \cap \partial G$ , there exists  $j \in Jx$  such that  $(u + Cx, j) \leq 0$  for every  $u \in Tx$ , then the operator  $T+C$  has a fixed point in  $D(T) \cap \overline{G}$ , i. e., there exists  $x \in D(T) \cap \overline{G}$  such that  $(T+C)x \ni x$ .

**Proof** Theorem 2 (with  $I-T$  in place of  $T$  and  $-C$  in place of  $C$ ) implies that the operator  $I - (T+C)$  has a zero in  $D(T) \cap \overline{G}$ . This completes the proof.

A real number  $\lambda$  is called an eigenvalue of a pair of operators  $(T, C)$  if the equation  $\lambda Tx + Cx \ni 0$  is solvable in  $D(T) \cap D(C)$ .

**Corollary 4** Let  $G$  be a bounded open subset of  $X$ . Let  $T : D(T) \subset X \rightarrow 2^X$  be  $m$ -accretive with  $0 \in D(T) \cap G$  and  $0 \in T0$ ,  $J_1 = (I+T)^{-1}$  compact,  $C : \overline{G} \rightarrow X$  continuous and bounded. Assume that for every  $x \in D(T) \cap \partial G$ , there exists  $j \in Jx$  such that  $(u + Cx, j) \geq 0$  for every  $u \in Tx$ , then  $0 \in R((\lambda+1)T+C)$  ( $\lambda > 0$ ), i. e., the number  $\lambda+1$  is an eigenvalue for the pair  $(T, C)$ .

**Proof** The result follows from Theorem 2 (with  $(\lambda+1)T$  instead of  $T$ ).

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## 扰动 $m$ -增生算子的零集

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**摘要:** 本文对 Kartsatos 提出的一个问题给出了完整的回答并减弱了 Kartsatos 定理的条件.