

# Primitive Topological Algebras And Modules\*

Guan Bo (关波)

(Harbin Institute of Technology)

In this paper we define a topology that we call canonical on an irreducible module for a topological algebra. Under some additional conditions we prove theorems of the strict density and the uniqueness of the topology for a primitive locally convex F-algebra. We also show that a locally finite primitive locally convex F-algebra is finite dimensional.

All our spaces and algebras are over the scalar field  $K$ , which is either the reals or the complexes. By modules we mean algebraic right modules. We follow [1] for notations and terminologies not stated here in general algebra.

By a topological algebra we mean an algebra having a vector topology for which the multiplication is separately continuous i.e. the operations  $x \mapsto xy$  and  $x \mapsto yx$  are continuous for every  $y$  in  $A$ . An F-algebra is a complete, metrizable one. A module  $M$  for a topological algebra  $A$  is called a topological  $A$ -module if  $M$  is a topological vector space such that

$$(x, a) \mapsto xa, M \times A \rightarrow M$$

is continuous for each variable.

Let  $A$  be an algebra. An  $A$ -module  $M$  is irreducible if it has no proper submodules and  $MA = \{\sum ma, m \in M, a \in A\} \neq (0)$ .  $M$  is faithful if  $0 \neq a \in A$  implies  $Ma \neq (0)$ . An algebra is primitive if it has a faithful irreducible module.

I Now we come to introduce the canonical topology and discuss briefly its elementary properties.

Let  $A$  be a topological algebra and  $M$  an  $A$ -irreducible module. Thus  $M = mA$  for every  $0 \neq m \in M$ . For a fixed  $0 \neq m \in M$ , the mapping

$$A \rightarrow M; a \mapsto ma, a \in A$$

is obviously linear and onto, which therefore induces a quotient topology  $T_m$  on  $M$ . The kernel of this mapping is  $(0:m) = \{a \in A: ma = 0\}$ . So the quotient space  $A/(0:m)$  is topologically isomorphic to the topological vector space  $M[T_m]$ . It follows that  $T_m$  is separated if and only if  $(0:m)$  is closed.

It is easy to see that  $T_m$  is independent of the choice of  $0 \neq m \in M$ . This topology is called the canonical topology of the  $A$ -module  $M$ , and denoted by  $T$ .

**Lemma 1**  $M[T]$  is a topological  $A$ -module. Moreover, if  $M[T_1]$  is a

\* Received July 10, 1984.

topological  $A$ -module too, then  $T_1 \subset T$ .

**Proof** Take any  $0 \neq m \in M$ , we have  $M = mA$ . For any  $a \in A$ ,  $x = mb \in M$  ( $b \in A$ ) and  $U \in N(A)$ . (We denote by  $N(X)$  or  $N(\tau)$  the neighbourhood system of zero in a topological vector space  $X[\tau]$ ). Since the mappings  $y \mapsto by$  and  $y \mapsto ya$  are continuous from  $A$  to  $A$ , there are  $V$  and  $W \in N(A)$  such that  $bV \subset U$  and  $Wa \subset U$ . Hence  $x(a+V) \subset xa + mU$  and  $(x+mW)a \subset xa + mU$ . This proves the module operation is separately continuous (to  $M[T]$ ). So  $M[T]$  is a topological  $A$ -module.

If  $T_1$  is another  $A$ -module topology on  $M$ . For any  $V \in N(T_1)$ , since  $(x, a) \mapsto xa$  is separately continuous (to  $M[T_1]$ ), there is  $U \in N(A)$  such that  $mU \subset V$ , but  $mU \in N(T)$ . So  $V \in N(T)$ , this implies  $T_1 \subset T$ .

**Lemma 2**  $M[T]$  is separated if and only if  $(0:m)$  is closed for some (hence every)  $0 \neq m \in M$ .

Let  $D = \text{End}_A(M)$  denote the set of linear transformations  $f$  on  $M$  satisfying  $f(ma) = f(m)a$  for all  $m \in M$  and  $a \in A$ . Then every  $f$  in  $D$  is one-to-one and onto by Schur Lemma [1, p171].

**Lemma 3** Every  $f \in D$  is continuous on  $M[T]$ .

**Proof** Take any  $0 \neq m \in M$ . It is sufficient to show that for any  $U \in N(A)$ , there is  $V \in N(A)$  such that  $f(mV) \subset mU$ . Assume  $fm \neq 0$  (otherwise,  $f=0$ ), then  $(fm)A = M$  and  $T = T_{f,m}$ . Since  $mU \in N(T)$ , there is  $V \in N(A)$  such that  $(fm)V \subset mU$ . But  $(fm)V = f(mV)$ .

**Lemma 4** Let  $A$  be a topological algebra. Suppose  $I = eA$  is a minimal right ideal of  $A$ ,  $e \in A$  with  $e^2 = e$ . Then both  $I$  and  $(1-e)A$  are closed, and  $A = eA \oplus (1-e)A$  (a topologically direct sum).

**Proof.** Let  $x_a \in eA$  and  $x_a \rightarrow x \in A$ , then  $ex_a \rightarrow ex$ . But  $ex_a = x_a$ , so  $ex = \lim ex_a = \lim x_a = x$ . It follows  $x \in eA$ . So  $eA$  is closed. That  $(1-e)A$  is closed can be proved by same way.

Since  $a \mapsto ea$  is continuous,  $A = eA \oplus (1-e)A$  is a topologically direct sum.

**Theorem 1** Suppose  $A$  is a primitive topological algebra with minimal one sided ideals. Then

(1)  $A$  has both minimal right ideals and minimal left ideals, all of them are closed;

(2) every minimal right ideal is an  $A$ -faithful irreducible module and the canonical topology coincides with its relative topology;

(3) the canonical topology of every  $A$ -faithful irreducible module is separated. Moreover, all of  $A$ -faithful irreducible modules (with the canonical topology) are topologically (module) isomorphic one another.

**Proof.** Theorem 7.5.2 of [1] shows  $A$  has both minimal right and left

ideals, and they have the forms  $eA$  and  $Ae$  which are also right and left faithful irreducible modules for  $A$ , with  $e \in A$  and  $e^2 = e$ . All of them are closed by Lemma 4. This proves (1).

Let  $\tau$  denote the restriction on  $eA$  of the topology of  $A$ . Then Lemma 1 shows  $\tau \subseteq T$  (the canonical topology of  $eA$ ). On the other hand, every  $V \in N(T)$  has the form  $eU$  with  $U \in N(A)$ . Note that  $U \cap eA \in N(\tau)$  and  $U \cap eA = e(U \cap eA) \subseteq eU$ , we have  $eU \in N(\tau)$ . So  $\tau \supseteq T$  and hence  $\tau = T$  and (2) is proved.

Suppose  $M$  is any  $A$ -faithful irreducible. Since  $eA \neq (0)$ , there is  $m \in M$  such that  $meA \neq (0)$ . It follows that  $meA = M$  since  $M$  has no nonzero proper submodules. The mapping  $eA \rightarrow M: ea \rightarrow mea$ ,  $a \in A$  is obviously an  $A$ -module homomorphism from  $eA$  onto  $M$ . On the other hand, it is obvious that  $(1-e)A \subseteq (0:me)$  and hence  $(1-e)A = (0:me)$  since both of them are regular maximal right ideals of  $A$ <sup>[1,p165]</sup>. This shows  $mea = 0$  if and only if  $ea = 0$ . So the mapping is an  $A$ -module isomorphism. For any  $U \in N(A)$ ,  $eU$  corresponds  $meU$  under this isomorphism. It follows that  $M$  is topologically isomorphic to  $eA$  (each has the canonical topology). The proof of (3) is completed.

II Let  $A$  be a locally convex  $F$ -algebra and  $M$  an  $A$ -irreducible module. Then  $M[T]$  is a locally convex  $F$ -space. We assume that the canonical topology  $T$  is separated.

**Lemma 5**  $D = \text{End}_A(M)$  is isomorphic to either the reals or the complexes or the quaternions. In particular, if  $A$  is complex, then  $D$  is isomorphic to the complexes.

**Proof** Take any  $0 \neq m \in M$ . Let  $B = \{b \in A: b(0:m) \subseteq (0:m)\}$  be the idealizer of  $(0:m)$ . Since  $(0:m)$  is closed,  $B$  is a closed subalgebra of  $A$  and  $(0:m)$  is a closed ideal in  $B$ , thus the quotient  $B/(0:m)$  is a locally convex  $F$ -algebra.

We prove  $D$  is algebraically isomorphic to  $B/(0:m)$ . Let  $f \in D$ ,  $f \neq 0$ . Schur Lemma shows  $fm \neq 0$ . Thus there is  $a_f \in A$  such that  $fm = ma_f$  since  $M = mA$ . It is easy to show that  $a_f \in B$  and  $f \rightarrow a_f + (0:m)$  is an algebraic isomorphism from  $D$  onto  $B/(0:m)$ .

This isomorphism induces a topology on  $D$  which makes  $D$  a locally convex  $F$ -algebra. Since  $D$  is a division algebra (Schur Lemma), the desired conclusion follows, by Theorem 9.4 of [2].

**Corollary 1.** If  $A$  is complex and  $M$  is faithful, then  $A$  is strictly dense on the vector space  $M$ , i.e., given  $x_1, \dots, x_n; y_1, \dots, y_n$  in  $M$  with  $x_1, \dots, x_n$  linearly independent, there is  $a \in A$  such that  $y_i = x_i a$  for  $i = 1, 2, \dots, n$ .

**Proof.** By Lemma 5 and the Jacobson Density Theorem<sup>[1,p172]</sup>.

If  $A$  is not complete, then Lemma 5 does not necessarily hold. [3] gave a commutative, locally convex, metrizable complex division algebra  $C(t)$ ,

which is not isomorphic to the complexes.  $C(t)$  is itself a  $C(t)$ -faithful irreducible module and  $\text{End}_{C(t)}(C(t))$  contains  $C(t)$  and hence is not isomorphic to the complexes. Another example of [4, pp141-146] shows Lemma 5 is not true for (not locally convex) F-algebras either.

**Theorem 2** Let  $A$  be a locally finite (which means every finitely generated subalgebra is finite dimensional), primitive locally convex F-algebra and  $M$  an  $A$ -faithful irreducible module with the canonical topology separated. Then  $A$  is finite dimensional.

**Proof.** Suppose  $\|\cdot\|_n$  is a sequence of seminorms on  $A$  giving the topology of  $A$  with  $\|\cdot\|_1 \leq \|\cdot\|_2 \leq \|\cdot\|_3 \dots$  [2, p29]. Assuming  $A$  is not finite dimensional, then  $M$ , as a left vector space on the division algebra  $D$ , is infinite dimensional (since, by Lemma 5,  $D$  is a finite dimensional algebra on  $K$ , and  $M$  is an infinite dimensional  $K$ -vector space). Take any  $0 \neq m \in M$ . We shall show by induction there is a sequence  $m_0 = m, m_1, m_2, \dots$  of  $D$ -linearly independent in  $M$  and a sequence  $x_1, x_2, \dots$  in  $A$  such that  $m_r = mx_n^r (1 \leq r \leq n)$  and  $\|x_{n-1} - x_n\|_n < 2^{-n}$  for all  $n$ . Since  $M$  is irreducible and has  $D$ -dimension greater than 1, there is  $x_1 \in A$  such that  $m_1 = mx_1$  is  $D$ -linearly independent of  $m$ . Suppose that  $m_0, m_1, \dots, m_n$  and  $x_1, \dots, x_n$  have been chosen. If  $m_n x_n$  is not in the  $D$ -span of  $m_0, m_1, \dots, m_n$ , put  $x_{n+1} = x_n$  and  $m_{n+1} = m_n x_n$ . Otherwise, take any  $m' \in M$  not in the span of  $m_0, \dots, m_n$ . The Jacobson Density Theorem gives a  $y \in A$  such that  $m_i y = 0$  ( $0 \leq i \leq n-1$ ) and  $m_n y = m'$ . Put  $x_{n+1} = x_n + \lambda y$  with  $0 < |\lambda| < 2^{-n-1} / \|y\|_{n+1}$  so that  $\|x_{n+1} - x_n\|_{n+1} < 2^{-n-1}$ , and put  $m_{n+1} = m_n x_{n+1}$ . We have  $m_0, \dots, m_{n+1}$   $D$ -linearly independent and  $mx_{n+1}^r = m_r (1 \leq r \leq n+1)$ .

For any integer  $k$ , when  $n > k$ , we have

$$\|x_n - x_{n+p}\|_k \leq \sum_{i=1}^p \|x_{n+i-1} - x_{n+i}\|_k \leq \sum_{i=1}^p \|x_{n+i-1} - x_{n+i}\|_{n+i} < \sum_{i=1}^p 2^{-n-i} < 2^{-n}$$

for every integer  $p$ . So  $(x_n)$  is a Cauchy sequence in  $A$  and hence converges to some  $x$  in  $A$ . Since multiplication in F-algebras is jointly continuous [2, p23], we have  $x_n^r \rightarrow x^r (r = 1, 2, \dots)$  and, therefore,  $mx_n^r = m_r (r = 1, 2, \dots)$ . Since the  $m_r$ 's are  $K$ -linearly independent, the set  $(x^1, x^2, \dots)$  is linearly independent in  $A$ . This contradicts the local finiteness of  $A$ .

III If  $A$  is a primitive algebra with minimal one-sided ideals, then there exists at most one topology on  $A$  which makes  $A$  a locally convex F-algebra. This is the main result of this section.

Rickart had proved this theorem for Banach algebras [5]. Our proof follows that of Rickart. From now on we suppose  $A$  is an algebra and  $P_1$  and  $P_2$  are two total paranorms on  $A$  such that both  $A[P_1]$  and  $A[P_2]$  are locally convex F-algebras. The Closed Graph Theorem shows that  $P_1$  and  $P_2$  are equivalent

if and only if  $x_n \xrightarrow{P_1} 0$  and  $x_n \xrightarrow{P_2} s \in A$  implies  $s = 0$ .

For  $s \in A$ , put  $\Delta(s) = \inf \{P_1(x) + P_2(s-x); x \in A\}$ . Let  $\Delta = \{s \in A; \Delta(s) = 0\}$ , then  $P_1$  and  $P_2$  are equivalent if and only if  $\Delta = (0)$ .

**Lemma 6**  $\Delta$  is a closed ideal in both  $A[P_1]$  and  $A[P_2]$ .

**Proof** Let  $s \in \Delta$ , then there is a sequence  $x_n \in A$  such that  $P_1(x_n) \rightarrow 0$  and  $P_2(s - x_n) \rightarrow 0$  as  $n \rightarrow \infty$ . For any  $a \in A$ , the continuity of multiplication shows  $P_1(x_n a) \rightarrow 0$  and  $P_2(sa - x_n a) \rightarrow 0$ . So  $sa \in \Delta$ . Similarly, we have  $as \in \Delta$ . So  $\Delta$  is an ideal of  $A$ .

Now suppose  $s_n \rightarrow s$  in  $A[P_2]$ ,  $s_n \in \Delta$ , then for every integer  $k$  there are  $x_{nk} \in A$  such that

$$P_1(x_{nk}) + P_2(s_n - x_{nk}) < 1/k, \quad n = 1, 2, \dots$$

So

$$\begin{aligned} P_1(x_{nn}) + P_2(s - x_{nn}) &\leq P_1(x_{nn}) + P_2(s_n - x_{nn}) + P_2(s - s_n) \\ &< P_2(s - s_n) + 1/n, \quad n = 1, 2, \dots \end{aligned}$$

It follows that  $P_1(x_{nn}) + P_2(s - x_{nn}) \rightarrow 0$  as  $n \rightarrow \infty$ . This implies  $s \in \Delta$ . So  $\Delta$  is closed in  $A[P_2]$ . That  $\Delta$  is closed in  $A[P_1]$  can be proved same way.

The ideal  $\Delta$  is called the separating ideal for  $P_1$  and  $P_2$ .

**Lemma 7** Let  $e$  be an idempotent (i.e.,  $e^2 = e$ ) in  $A$ . Denote by  $\Delta_1$  the separating ideal for the restrictions on  $eAe$  of  $P_1$  and  $P_2$ . Then  $\Delta_1 = e\Delta e$ .

**Proof** It is obvious that  $\Delta_1 \subset \Delta$  and hence  $\Delta_1 = e\Delta_1 e \subset e\Delta e$ . On the other hand, if  $s \in \Delta$  then there is a sequence  $x_n \in A$  such that  $P_1(x_n) \rightarrow 0$  and  $P_2(s - x_n) \rightarrow 0$ . Thus we have  $P_1(ex_n e) \rightarrow 0$  and  $P_2(ese - ex_n e) \rightarrow 0$  and hence  $ese \in \Delta_1$ . It follows that  $\Delta_1 \supset e\Delta e$ . So  $\Delta_1 = e\Delta e$ .

obviously,  $eAe$  is a closed subalgebra of  $A[P_1]$  and hence also a locally convex F-algebra.

**Theorem 3** Let  $S$  be the socle of  $A$ . Then both  $\Delta S$  and  $S\Delta$  are contained in the Jacobson radical  $R$  of  $A$ .

**Proof** Since  $S$  is the sum of the minimal right (left) ideals of  $A$ , it suffices to prove that  $I\Delta \subset R$  for every minimal right ideal  $I$ . If  $I^2 = (0)$  then  $I \subset R$  and, therefore,  $I\Delta \subset R$ . Now suppose  $I^2 \neq (0)$  then there exists an idempotent  $e \in A$  such that  $I = eA$  and  $eAe$  is a division algebra. As mentioned before  $eAe[P_i]$  are locally convex F-algebras and so isomorphic to the reals or the complexes or the quaternions. Thus  $P_1$  and  $P_2$  are equivalent on  $eAe$ . So we have  $e\Delta e = (0)$  by Lemma 7. This implies that  $e\Delta = (0)$ . Hence  $I\Delta = eA\Delta \subset e\Delta = (0) \subset R$ . The proof is completed.

**Corollary 2** If  $A$  has no nonzero nilpotent one-sided ideals, then  $\Delta S = S\Delta = (0)$ .

**Corollary 3.** Let  $A$  be a primitive algebra with minimal onesided ideals. Then  $P_1$  and  $P_2$  are equivalent.

**Proof.** That  $S\Delta = (0)$  implies  $\Delta = (0)$  is obvious in this case.

The author would like to thank Professor Wu Congxin for his kind advice and encouragement.

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