

## References

- [1] P. Alfeld, On the dimension of piecewise polynomial functions, in *Numerical Analysis*, D.F. Griffiths and G.A. Watson eds., Longman Scientific Technical, London 1986, 1–23.
- [2] P. Alfeld and L.L. Schumaker, The dimension of bivariate spline spaces of smoothness  $r$  for degree  $d \geq 4r + 1$ , *Constructive Approximation*, Vol.3 (1987), 189–197.
- [3] P. Alfeld, B. Piper and L.L. Schumaker, On the dimension of bivariate spline spaces of smoothness  $r$  and degree  $d = 3r + 1$ , *Numer. Math.* Vol.57 (1990), 651–661.
- [4] P. Alfeld, B. Piper and L.L. Schumaker, Minimally supported bases for spaces of bivariate piecewise polynomials of smoothness  $r$  and degree  $d \geq 4r + 1$ , *Computer Aided Geometric Design*, Vol.4 (1987), 105–123.
- [5] P. Alfeld, B. Piper and L.L. Schumaker, An explicit basis for  $C^1$  quartic bivariate splines, *SIAM J. Numer. Anal.*, Vol.24 (1987), 891–911.
- [6] C. deBoor, B-form basics, in *Geometric Modelling*, G. Farin eds., Society for Industrial and Applied Mathematics, Philadelphia PA, 1987.
- [7] C.K. Chui and M.J. Lai, On bivariate super vertex splines, CAT Report no. 164, 1988.
- [8] G. Farin, Triangular Bernstein-Bézier patches, *Computer Aided Geometric Design*, Vol. 3 (1986), 83–128.
- [9] J.B. Gao, A  $C^2$  finite element and interpolation, *Computing*, Vol.50 (1993), 69–76.
- [10] J. MOrgan and R. Scott A nodal basis for  $C^1$  piecewise polynomials of degree  $n \geq 5$ , *Math. Comp.*, Vol.29 (1975), 736–740.
- [11] L.L. Schumaker, On the dimension of spaces of piecewise polynomials in two variables, in *Multivariate Approximation Theory*, W. Schempp and K. Zeller eds., Basel, Birkhauser 1979, 396–412.
- [12] L.L. Schumaker, Dual bases for spline spaces on cells, *Computer Aided Geometric Design*, Vol.5 (1988), 277–284.

## 关于二元样条空间 $S_{3r}^r(\Delta^*)$ 的维数

高俊斌

(华中理工大学数学系, 武汉 430074)

### 摘 要

设  $\Delta^*$  是任何三角剖分  $\Delta$  的 HCT 细分的三角剖分. 本文建立了定义于  $\Delta^*$  上的二元样条函数空间  $S_{3r}^r(\Delta^*)$  的维数公式. 我们的证明方法同时给出了  $S_{3r}^r(\Delta^*)$  的一组显示的基函数, 并阐明基函数具有某种意义的局部最小支集.

# On the Dimension of the Bivariate Splines Spaces $S_{3r}^r(\Delta^*)$ \*

Gao Junbin

(Dept. of Math., Huazhong University of Science and Technology, Wuhan 430074, China)

**Abstract** We establish the dimensional formula of the space of  $C^r$  bivariate piecewise polynomials defined on a triangulation  $\Delta^*$  which comes from an original triangulation  $\Delta$  of a connected polygonal domain with HCT subdivision for each triangle of  $\Delta$ . Our approach is made by constructing a minimal determining set and an associated explicit basis for the space  $S_{3r}^r(\Delta^*)$ . The minimal determining set is defined well.

**Key words** multivariate spline, HCT triangulation, B-net, super-spline.

## 1. Introduction

Let  $\Omega \subset \mathbb{R}^2$  be a connected polygonal domain, which does not allowed to contain any hole. Let  $\Delta$  denote a triangulation of  $\Omega$  and suppose that the triangles of  $\Delta$  are labeled by  $T^{[1]}, \dots, T^{[N]}$ . For each triangle  $T^{[i]} = v_1^{[i]} v_2^{[i]} v_3^{[i]}$  (in counterclockwise order) we take one point  $v_0^{[i]}$  in  $T^{[i]}$  and connect vertex  $v_0^{[i]}$  with vertices  $v_k^{[i]}$  ( $k = 1, 2, 3$ ). It clearly results in a new triangulation  $\Delta^*$  of  $\Omega$  called *HCT triangulation* of  $\Delta$ . In  $\Delta^*$ , we refer  $v_0^{[i]}$  to a new vertex and  $v_0^{[i]} v_k^{[i]}$  ( $k = 1, 2, 3$ ) to new edges of  $\Delta^*$  for  $i = 1, \dots, N$ . Denote  $T_k^{[i]} = v_0^{[i]} v_k^{[i]} v_{k+1}^{[i]}$  ( $k = 1, 2, 3$ ), where  $k + 1 \bmod 3$ . Given  $0 \leq r \leq d$ , the space of bivariate splines over this triangulation  $\Delta^*$  is defined by

$$S_d^r(\Delta^*) = \{s \in C^r(\Omega) : s|_{T_k^{[i]}} \in \mathbb{P}_d, i = 1, \dots, N, k = 1, 2, 3\}, \quad (1.1)$$

where  $\mathbb{P}_d$  is the  $(d+1)(d+2)/2$ -dimensional linear space of polynomials of total degree  $d$ .

On the dimension of  $S_d^r(\Delta^*)$ , a lower bound was given by Schumaker (cf. [11]) in terms of the number of interior and boundary vertices of  $\Delta^*$ . When  $d \geq 3r + 2$ , C.K. Chui and M.J. Lai (cf. [7]) have proven that such lower bound is exactly the dimension of the space  $S_d^r(\Delta^*)$ . Since  $\Delta^*$  is always the triangulation without vertices of degree 4 or 5, we can conclude that the dimension of  $S_{3r+1}^r(\Delta^*)$  is equal to the lower bound from the work in my Ph.D Thesis. In this paper we prove the similar results about dimensions of  $S_{3r}^r(\Delta^*)$  except  $r = 2$ . We shall establish an upper bound which agrees with the lower bound and in the process obtain an explicit basis for  $S_{3r}^r(\Delta^*)$ . Our approach will use Bézier nets to

---

\*Received May 29, 1992. Partially supported by the Science Foundation of China, the Postdoctoral Science Foundation of China and the Science Foundation for Youths provided by HUST.

construct a certain minimal determining set of domain points. Now we introduce some further notation and present some general results which will be useful for determining the dimension of  $S_{3r}^r(\Delta^*)$ . Given a triangulation  $\Delta$ , let  $\Delta^*$  be a HCT triangulation of  $\Delta$ , and denote

$$\begin{aligned} V_I(V_I^*) &= \text{number of interior vertices of } \Delta(\Delta^*) \\ V_B(V_B^*) &= \text{number of boundary vertices of } \Delta(\Delta^*) \\ E_I(E_I^*) &= \text{number of interior edges of } \Delta(\Delta^*) \\ E_B(E_B^*) &= \text{number of boundary edges of } \Delta(\Delta^*) \\ N(N^*) &= \text{number of triangles of } \Delta(\Delta^*) \\ E &= E_I + E_B, \quad E^* = E_I^* + E_B^* \text{ etc.} \end{aligned}$$

It is well-known that

$$\begin{aligned} E_B &= E_B^* = V_B = V_B^*, \quad E_I = 3V_I + V_B - 3 \\ E_I^* &= 3V_I^* + V_B^* - 3, \quad N = 2V_I + V_B - 2, \quad N^* = 2V_I^* + V_B^* - 2 \\ E_I^* &= E_I + 3N, \quad V_I^* = V_I + N, \quad N^* = 3N \end{aligned} \quad (1.2)$$

We assume that the vertices  $v_i$ ,  $i = 1, \dots, V^*$  of  $\Delta^*$  are numbered in such a way that the first  $V_I$  of them are original interior vertices, i.e. the interior vertices of  $\Delta$ , the  $N$  of them are interior vertices of  $\Delta^*$  denoted by  $v_{V_I+i} = v_0^{[i]}, v_0^{[i]} \in T^{[i]}, i = 1, \dots, N$ , and the remaining  $V_B^*(=V_B)$  are boundary vertices. For each vertex  $v_i$  of  $\Delta^*$ , let  $E_i$  denote the number of edges emanating from  $v_i$ , and  $e_i$  the number of distinct slopes assumed by these edges. Thus  $E_i = e_i = 3$  where  $i = V_I + 1, V_I + 2, \dots, V_I^*$ . Then we have the following conclusion.

**Lemma 1.1** *Let  $\Delta^*$  be a HCT triangulation of a given  $\Delta$ . Then the lower bound for the dimension of  $S_{3r}^r(\Delta^*)$  is given by*

$$\begin{aligned} \dim S_{3r}^r(\Delta^*) &\geq \binom{3r+2}{2} + \binom{2r+1}{2} E_I^* - \left[ \binom{3r+2}{2} - \binom{r+2}{2} \right] V_I^* \\ &\quad + \sum_{i=1}^{V_I} \sigma_i + N \sum_{j=1}^r (r+1-2j)_+ := lb^*, \end{aligned} \quad (1.3)$$

where

$$\sigma_i = \sum_{j=1}^r (r+j+1-j e_i)_+. \quad (1.4)$$

## 2. Preliminaries and tools

Following [3], in order to establish that formula (1.3) provides the actual dimension of  $S_{3r}^r(\Delta^*)$ , we shall use Bézier net techniques. Associated with any triangulation  $\Delta$ , let

$$\mathcal{B} = \mathcal{B}_d := \bigcup_{l=1}^N \left\{ P_{ijk}^{[l]} = \frac{i v_1^{[l]} + j v_2^{[l]} + k v_3^{[l]}}{d}, \quad i+j+k=d \right\}, \quad (2.1)$$

where  $v_1^{[l]}$ ,  $v_2^{[l]}$  and  $v_3^{[l]}$  are the vertices of the  $l$ -th triangle in counterclockwise order (for HCT triangulation  $\Delta^*$  of  $\Delta$ , we always take a certain new vertex as  $v_1^{[l]}$  in any triangle of  $\Delta^*$ ). The set  $\mathcal{B}$  is called the set of Bézier ordinates or domain points. Say that the point  $P_{ijk}^{[l]}$  is of distance  $d - i$  from the vertex  $v_1^{[l]}$  (with similar definitions for the other two vertices). We also say that the point  $P_{ijk}^{[l]}$  is of distance  $i$  from the edge opposite to  $v_1^{[l]}$ . The ring of order  $p$  around the vertex  $v$  is defined as

$$R_p(v) = \{\text{Points which are distance } p \text{ from } v\},$$

and the disk of order  $p$  around  $v$  is

$$D_p(v) = \bigcup_{j=0}^p R_j(v).$$

As all are well-known, each spline  $s \in S_d^r(\Delta)$  can be written in the form

$$s(x, y) = s_l(x, y) \quad \text{for } (x, y) \in T^{[l]}, \quad l = 1, \dots, N,$$

where each  $s_l(x, y)$  is a polynomial of degree  $d$  which can be written in Bernstein-Bézier form as follows

$$s_l(\alpha, \beta, \gamma) = \sum_{i+j+k=d} C_{ijk}^{[l]} \frac{d!}{i!j!k!} \alpha^i \beta^j \gamma^k,$$

where  $(\alpha, \beta, \gamma)$  are the barycentric coordinates of a point  $(x, y)$  in the triangle  $T^{[l]}$ .

Associated with each domain point  $P \in \mathcal{B}$ , we define a linear functional on  $S_d^r(\Delta)$  by

$$\lambda_P s = \text{the coefficient of } s \text{ associated with the domain point } P.$$

The set  $\{(P, \lambda_P s)\}_{P \in \mathcal{B}}$  is called the Bézier net. If  $\gamma$  is a set of domain points, then we write

$$\Lambda_\gamma = \{\lambda_P : P \in \gamma\}.$$

Suppose that  $\Gamma \subset \mathcal{B}$  contains  $m$  points, and that  $\Lambda_\Gamma$  has the property that it is a determining set for  $S_d^r(\Delta)$  in the sense that

$$s \in S_d^r(\Delta) \text{ and } \lambda s = 0 \text{ for all } \lambda \in \Lambda_\Gamma \text{ implies } s = 0.$$

Then we have

**Lemma 2.1**  $\dim S_{3r}^r(\Delta) \leq m$ .

The following Lemmas can be found in papers [3] and [7].

**Lemma 2.2** Let  $v$  be a boundary vertex of  $\Delta$  with  $E$  edges attached. Suppose that the triangles with vertices at  $v$  are numbered counterclockwise as  $T^{[1]}, \dots, T^{[E-1]}$ . Finally, let  $\mathcal{D}$  denote the following set of domain points:

1. All domain points in  $T^{[1]} \cap D_p(v)$ ,

2. For each  $l = 2, \dots, E-1$ , the domain points in the  $p-r$  rows of  $T^{[l]}$  far away from  $T^{[l-1]}$ .

Then  $\mathcal{D}$  is a minimal determining set for  $S_p^r(\Delta)$  on  $D_p(v)$  with

$$\#\mathcal{D} = \binom{p+2}{2} + \binom{p-r+1}{2} (E-2).$$

**Lemma 2.3** Let  $v$  be an interior vertex of  $\Delta$  with  $E$  edges attached, where  $e$  of them have different slopes. Then there exists a subset  $\mathcal{D}$  of  $D_p(v)$  with

$$\#\mathcal{D} = \binom{r+2}{2} + \binom{p-r+1}{2} E + \sum_{j=1}^{p-r} (r+j+1-j e)_+$$

such that  $\mathcal{D}$  determines  $S_d^r(\Delta)$  on  $D_p(v)$ .

The required points in Lemma 2.3 can be given explicitly (cf. [12]). Let  $T_1 = v_1 v_2 v_3$  and  $T_2 = v_1 v_2 v_4$  be shown in Fig.1. Define B-forms

$$P_n = \sum_{i+j+k=n} a_{ijk} \frac{n!}{i!j!k!} \lambda_1^i \lambda_2^j \lambda_3^k$$

and

$$Q_n = \sum_{i+j+k=n} b_{ijk} \frac{n!}{i!j!k!} \mu_1^i \mu_2^j \mu_3^k$$

on  $T_1$  and  $T_2$ , respectively, where  $(\lambda_1, \lambda_2, \lambda_3)$  is the barycentric coordinate of  $(x, y)$  with respect to  $T_1$  and  $(\mu_1, \mu_2, \mu_3)$  is that with respect to  $T_2$ .

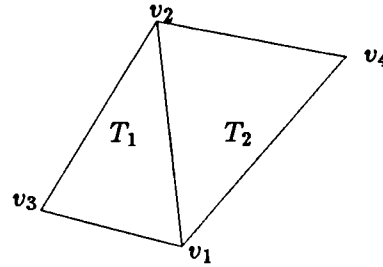


Fig. 1

**Lemma 2.4** Suppose that  $v_2, v_3$  and  $v_4$  are not collinear. For  $l \leq (n-2)/2$ , given Bézier coordinates  $\{a_{ijk}, b_{ijk} : j \geq 1\}$  and  $\{a_{ijk} : j = 0, 0 \leq k \leq n-2l-2\}$  which satisfy the smoothness conditions of order up to  $n-2l-2$  on  $v_1 v_2$ , if  $\{a_{ijk} : j \geq 1\}$  and  $\{b_{ijk} : j \geq 1\}$  satisfy the smoothness conditions of order up to  $n-1$  on  $v_1 v_2$ , then for any given  $\{a_{ijk}, b_{ijk} : j = 0, 0 \leq i \leq l\}$  there exists a unique set of coefficients  $\{a_{ijk}, b_{ijk} : j = 0, l+1 \leq i \leq 2l+1\}$  such that  $\{a_{ijk}\}$  and  $\{b_{ijk}\}$  meet the  $C^n$  smoothness conditions on  $v_1 v_2$ .

The proof of Lemma 2.4 is referred to [7].

If we show that the dimension of  $S_{3r}^r(\Delta^*)$  is bounded above by the equality in (1.3), then with Lemma 1.1 the dimension of  $S_{3r}^r(\Delta^*)$  will be obtained. The cases where  $r$  is odd and even are treated separately in the following two sections.

### 3. The case for odd $r$

In this section we always assume that  $r$  is odd, say

$$r = 2m + 1$$

By the observations and Lemma 2.1 in Section 2 above, it suffices to construct a determining set for  $S_{3r}^r(\Delta^*)$  with the number of elements given in (1.3). First we discuss the case that  $\Delta$  consists of only one triangle  $T$  with three vertices  $v_1, v_2$  and  $v_3$  (in counter-clockwise order). Taking one vertex  $v_0$  in  $T$  (in general,  $v_0$  is the centroid point of  $T$ , i.e.  $v_0 = \frac{1}{3}(v_1 + v_2 + v_3)$ ) and connecting  $v_0$  with  $v_i$  ( $i = 1, 2, 3$ ) result in a triangulation  $\Delta_0$  of  $T$ . Let  $T_l = v_0 v_l v_{l+1}$ , where  $l = 1, 2, 3$  ( $l+1 \bmod 3$ ), and denote by  $P^{[l]}(x, y)$ —the B-form of degree  $n$  over  $T_l$  such that

$$P^{[l]}(x, y) = \sum_{i+j+k=n} a_{ijk}^{[l]} \frac{n!}{i! j! k!} \lambda_1^i \lambda_2^j \lambda_3^k, \quad (3.1)$$

where  $\lambda = (\lambda_1, \lambda_2, \lambda_3)$  is the barycentric coordinates of  $(x, y)$  with respect to  $T_l$  and  $a_{ijk}^{[l]}$  associates with the domain point  $P_{ijk}^{[l]}$ . The following will be devoted to discussing the structure of  $S_{3r}^r(\Delta_0)$ .

**Lemma 3.1** *Let  $r = 2m + 1$ , then*

$$\dim S_{3r}^r(\Delta_0) = \binom{2m+3}{2} + 3 \binom{4m+3}{2} + 2 \binom{m+1}{2} \quad (3.2)$$

This lemma is the deduction of Lemma 2.3. Here we will construct a useful minimal determining set for  $S_{3r}^r(\Delta_0)$ .

**Theorem 3.2** *Suppose that  $r = 2m + 1$ .  $\mathcal{P}_0$  denotes the following set of domain points (with respect to  $S_{3r}^r(\Delta_0)$ ):*

1. *For each triangle  $T_l$  ( $l = 1, 2, 3$ ), all of the following points of*

$$\left\{ P_{ijk}^{[l]} : i \geq 0, k \geq 0, j \geq 3m+2 \right\} \cup \left\{ P_{ijk}^{[l]} : k \geq 3m+2, j \geq 2m+2 \right\} \\ \cup \left\{ P_{ijk}^{[l]} : i \leq 2m+1, j \leq 3m+1, k \leq 3m+1 \right\},$$

where  $i + j + k = 3r$ .

2. *For each triangle  $T_l$  ( $l = 1, 2, 3$ ) all the domain points of*

$$\left\{ P_{ijk}^{[l]} : i \geq 2m+2, j \geq m+1, k \geq m+1 \right\},$$

where  $i + j + k = 3r$ .

Then  $\mathcal{P}_0$  is a minimal determining set for  $S_{3r}^r(\Delta_0)$  on  $\Delta_0$ .

**Proof** It is not difficult by counting that  $\#\mathcal{P}_0 = \dim S_{3r}^r(\Delta_0)$ . Then it suffices to prove that  $s = uiv0$  while  $\lambda_P s = 0$ , for any  $P \in \mathcal{P}_0$ . First for each vertex  $v_l$  ( $l = 1, 2, 3$ ), it follows from the construction of  $\mathcal{P}_0$  and the smoothness conditions of  $s$  on  $v_0 v_l$  (cf. [8]) that  $\lambda_P s = 0$ , so long as  $P$  in  $D_{3m+1}(v_l)$ . On the other hand, by (1)  $\lambda_{P_{ijk}^{[l]}} s = 0$ , provided that  $i \leq 2m+1$  for  $l = 1, 2, 3$ . The conditions (2) imply that  $\lambda_P s$  with  $P$  belonging

to  $\{P_{ijk}^{[l]} : j \leq m \text{ or } k \leq m\}$  ( $l = 1, 2, 3$ ) are undetermined. Moreover, for each vertex  $v_l$  ( $l = 1, 2, 3$ ), step by step using Lemma 2.4 for the domain points in the  $(3m+2)$ th row to the  $(5m+2)$ th row far away from  $v_l$ , we can prove that  $\lambda_P s = 0$  except for  $P$  belonging to  $\{P_{ijk}^{[l]} : j \leq m \text{ and } k \leq m\}$ . Finally, it follows that  $\lambda_P s = 0$  for any domain point  $P$  of  $\Delta_0$  utilizing Lemma 2.4 with regard to  $v_l$  and the smoothness conditions of order up to  $r$  on  $v_0v_2$  and  $v_0v_3$ .

This completes the proof.

**Theorem 3.3** For  $S_{3r}^r(\Delta^*)$  ( $r = 2m+1$ ), let  $\mathcal{P}$  consist of the following sets of domain points:

1. For each interior vertex  $v_i$  ( $i = 1, 2, \dots, V_I$ ), use Lemma 2.3 to choose a minimal determining set on the disk  $D_{3m+1}(v_i)$  for  $S_{3r}^r(\Delta^*)$ .
2. For each boundary vertex  $v_i$  ( $i = V_I^* + 1, V_I^* + 2, \dots, V_I^* + V_B^*$ ), use Lemma 2.2 to choose a determining set on  $D_{3m+1}(v_i)$ .
3. For each edge  $\eta = v_1v_2$  of  $\Delta$ , in one of two triangles with  $\eta$  as an edge choose the all domain points which are distance less than  $2m+2$  from  $\eta$  but out of  $D_{3m+1}(v_1)$  and  $D_{3m+1}(v_2)$ .
4. For each new vertex  $v_0^{[l]}$  of  $\Delta^*$  ( $l = 1, 2, \dots, N$ ), let  $v_0^{[l]}$  be in the triangle  $T^{[l]} = v_1^{[l]}v_2^{[l]}v_3^{[l]}$  in counterclockwise order. Choose all of domain points of  $D_{4m+1}(v_0^{[l]}) \cap D_{5m+2}(v_k^{[l]}) \cap D_{5m+2}(v_{k+1}^{[l]})$  ( $k = 1, 2, 3$ ).

Then  $\mathcal{P}$  is a determining set for  $S_{3r}^r(\Delta^*)$  and

$$\begin{aligned} \dim S_{3r}^r(\Delta^*) &= \binom{6m+5}{2} - \left( \binom{6m+5}{2} - \binom{2m+3}{2} \right) (V_I + N) \\ &\quad + \binom{4m+3}{2} (E_I + 3N) + 2N \binom{m+1}{2} + \sigma, \end{aligned}$$

where  $\sigma = \sum_{i=1}^{V_I} \sigma_i$  and  $\sigma_i$  is defined as (1.4).

**Proof** We first check the cardinality of  $\mathcal{P}$ . The cardinality of sets in (1), (2), (3) and (4) are, respectively,

$$\begin{aligned} &\sum_{i=1}^{V_I} \left[ \binom{2m+3}{2} + \binom{m+1}{2} E_i + \sigma_i \right], \\ &\sum_{j=1}^{V_B} \left[ \binom{3m+3}{2} + \binom{m+1}{2} (E_{V_I^*+j} - 2) \right], \\ &\sum_{i=1}^E \binom{2m+2}{2} \quad \text{and} \quad 3N \binom{2m+1}{2}. \end{aligned}$$

Thus we see that the cardinality of  $\mathcal{P}$  is given by

$$\begin{aligned} \#\mathcal{P} = & \left( \binom{3m+3}{2} - 2 \binom{m+1}{2} \right) V_B + (2E + 3N) \binom{m+1}{2} \\ & \left( \binom{2m+3}{2} V_I + 3N \binom{2m+1}{2} + \binom{2m+2}{2} E + \sum_{i=1}^{V_I} \sigma_i \right) \end{aligned}$$

Using (2.1), it is easy to see that this formula reduces to the expression in (1.3).

It remains to check that  $\mathcal{P}$  is a determining set for  $S_{3r}^r(\Delta^*)$ . For each vertex  $v_i$  ( $i = 1, \dots, V_I, V_I^* + 1, \dots, V_I^* + V_B^*$ ), it is clear that  $\lambda_P s = 0$  for any  $P \in D_{3m+1}(v_i)$  on  $\Delta^*$ , because of the points of  $\mathcal{P}$  chosen in items (1) and (2). For each triangle of  $\Delta^*$ , some one edge  $\eta = v_1 v_2$  ( $v_1, v_2 \in \Delta$ ) of that triangle must be the edge of  $\Delta$ . On this triangle the set  $\mathcal{A}$  of all domain points which are of distance less than  $2m+2$  from  $\eta$  but out of  $D_{3m+1}(v_1)$  or  $D_{3m+1}(v_2)$ , is contained in  $\mathcal{P}$  or not. Thus we can see that  $\lambda_P s = 0$  for  $P \in \mathcal{A}$  with (3) or the smoothness conditions of order up to  $r$  on  $\eta$ . Finally, by Theorem 3.2, we may prove that  $\lambda_P s = 0$  for any domain point  $P$  in each triangle of  $\Delta$ . Thus  $s = uiv0$ , because all of the Bézier coordinate of  $s$  are zero and the theorem is established.

The following theorem follows immediately from Theorem 3.3.

**Theorem 3.4** *There exists a local explicit basis of  $S_{3r}^r(\Delta^*)$ , say  $A(\mathcal{P}) = \{ \mathcal{B}_P \in S_{3r}^r(\Delta^*) : \lambda_Q \mathcal{B}_P = \Delta_{QP}, \text{ for any } P, Q \in \mathcal{P} \}$ . And when  $P$  is in the set of items (1) and (2) of Theorem 3.3,  $\text{supp} \mathcal{B}_P$  consists of all of the triangles of  $\Delta$  with vertices at  $v_i$ . For  $P$  in the set of item (3) of Theorem 3.3,  $\text{supp} \mathcal{B}_P$  consists of two triangles of  $\Delta$  with  $\eta$  as an edge, and for  $P$  in the set of item (4)  $\text{supp} \mathcal{B}_P$  is only one triangle of  $\Delta$  which contains  $P$ .*

#### 4. The case for even $r$

In this section we will establish the similar conclusions as the preceding section in the case where  $r$  is even, say

$$r = 2m \quad (m > 1)$$

Let  $\Delta_0$ , etc. be defined as the preceding section. Then from Lemma 2.2, it follows that

**Lemma 4.1** *Suppose that  $r = 2m$ , then*

$$\dim S_{3r}^r(\Delta_0) = \binom{2m+2}{2} + 3 \binom{4m+1}{2} + m^2. \quad (4.1)$$

Now we give a useful minimal determining set for  $S_{3r}^r(\Delta_0)$ .

**Theorem 4.2** *Let  $\mathcal{P}_0$  denote the following set of domain points:*

1. *For each triangle  $T_l$  ( $l = 1, 2, 3$ ), choose all of the domain points of*

$$\begin{aligned} & \left\{ P_{ijk}^{[l]} : j \geq 3m+1 \right\} \cup \left\{ P_{ijk}^{[l]} : j \geq 3m+1, k \geq 3m+1 \right\} \\ & \cup \left\{ P_{ijk}^{[l]} : i \leq 2m, j \leq 3m, k \leq 3m \right\} \end{aligned}$$

*except for  $P_{(2m, 3m, m)}^{[l]}$ , where  $i + j + k = 3r$ .*



2. For each triangle  $T_l$  ( $l = 1, 2, 3$ ), choose all of the points of

$$\left\{ P_{ijk}^{[l]} : i \geq 2m+1, j \geq m+1, k \geq m+1 \right\} \\ \cup \left\{ P_{ijk}^{[l]} : m+1 \leq j \leq 3m-1, i+j=6m \right\},$$

where  $i+j+k=3r$ .

3. For only one triangle, say  $T_1$ , choose  $P_{(5m,m,0)}^{[1]}$ .

Then  $\mathcal{P}_0$  is a determining set for  $S_{3r}^r(\Delta_0)$  on  $\Delta_0$ .

**Proof** It is not difficult by counting that  $\#\mathcal{P}_0 = \dim S_{3r}^r(\Delta_0)$ . Then it suffices to prove that  $s = uv_0$  while  $\lambda_P = 0$ , for any  $P \in \mathcal{P}_0$ . First for each vertex  $v_l$  ( $l = 1, 2, 3$ ), on  $R_{3m}(v_l)$ , the Bézier ordinates associated with the domain points in  $\{P_{ijk}^{[l]} : j = 3m, 0 \leq k \leq m\} \cup \{P_{ijk}^{[l]} : 1 \leq j \leq m-1, k = 3m\}$  are undetermined. The number of those ordinates is  $2m$ . Using  $\mathcal{C}^{2m}$  smoothness conditions on  $R_{3m}(v_l)$  results in that all of ordinates are zero. Second, along  $R_j(v_l)$  ( $3m+1 \leq j \leq 5m$ ), according to  $\mathcal{C}^{2m}$  smoothness conditions (cf. Lemma 2.4), we can conclude that all Bézier ordinates except for that associated with the domain points in  $\{P_{ijk}^{[l]} : 0 \leq j \leq m, 0 \leq k \leq m\}$ , on each triangle  $T_l$ , are zero. Finally by, using  $\mathcal{C}^{2m}$  smoothness conditions on  $R_{5m+1}(v_1)$  and then on  $v_0v_l$  ( $l = 1, 2, 3$ ) we will show that give all Bézier ordinates are zero. This completes the proof.

Given a triangulation  $\Delta_{2n}$ , in which there is only one interior vertex  $v$ , be shown in Fig.2. Let  $\theta_i = \text{angle } v_i v v_{i+1}$  ( $i \in \mathbb{Z}_n$ ) in counterclockwise order. If  $n \geq 4$ , then there exists  $i_0 \in \mathbb{Z}_n$  such that  $\theta_{i_0} + \theta_{i_0+1} < \pi$ . Without loss of the generality, suppose that  $i_0 = 1$ .

Let  $v_2 = \alpha_1 v + \beta_1 v_3 + \gamma_1 w_2$ ,  $v_2 = \alpha_2 v + \beta_2 v_1 + \gamma_2 w_1$ , and  $w_2 = \alpha_0 v + \beta_0 w_1 + \gamma_0 v_2$ , then we have the conditions

$$\gamma_0 \gamma_1 \gamma_2 + \gamma_1 \beta_0 - \gamma_2 \geq 0 \quad (4.2)$$

and

$$\gamma_i > 0 \quad (4.3)$$

Let  $n \geq 4$  and the above conditions are satisfied. We define the following domain point set  $\mathcal{D}$  on  $D_{3m}(\Delta_{2n})$ :

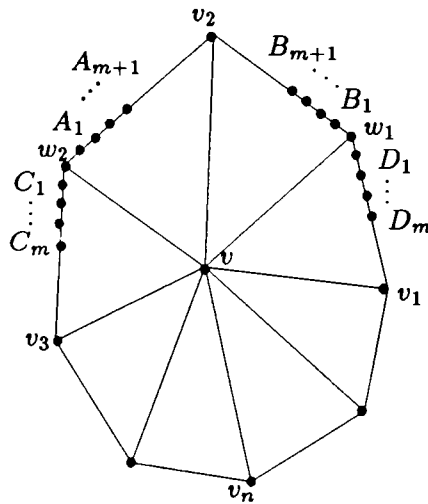


Fig. 2

1. The minimal determining set on  $D_{3m-1}(\Delta_{2n})$  for  $S_{3m-1}^{2m}(\Delta_{2n})$ ;
2. On boundary edges of  $\Delta_{2n}$ , in counterclockwise order, take  $2m+1$  points from  $v_1$ ,  $2m-1$  points from  $v_2$ ,  $m$  points from  $v_i$  and  $w_i$  where  $i \geq 3$ , respectively.

**Lemma 4.3** *The  $\mathcal{D}$  is a minimal determining set for  $S_{3m}^{2m}(\Delta_{2n})$  on  $\Delta_{2n}$ .*

**Proof** On  $\Delta_{2n}$  the remaining undetermined Bézier ordinates are  $A_1, \dots, A_m, A_{m+1}, B_1, \dots, B_m, B_{m+1}, C_1, \dots, C_m$  and  $D_1, \dots, D_m$  by construction of  $\mathcal{D}$  and smoothness conditions. We will see that smoothness conditions on  $vv_2, vw_2$  and  $vw_1$  result in the following system:

$$\begin{aligned}
A_{m+1} &= \beta_0^{2m-1} B_{m+1} \\
A_m &= \beta_0^{2m} B_m + 2m\beta_0^{2m-1} \gamma_0 B_{m+1} \\
\begin{pmatrix} 2m \\ 0 \end{pmatrix} \gamma_1^{2m} C_1 + \begin{pmatrix} 2m \\ 1 \end{pmatrix} \gamma_1^{2m-1} \beta_1 C_2 + \dots + \begin{pmatrix} 2m \\ m-1 \end{pmatrix} \gamma_1^{m+1} \beta_1^{m-1} C_m &= 0 \\
\dots & \dots \dots \\
\begin{pmatrix} m+2 \\ 0 \end{pmatrix} \gamma_1^{m+2} C_1 + \begin{pmatrix} m+2 \\ 1 \end{pmatrix} \gamma_1^{m+1} \beta_1 C_2 + \dots + \begin{pmatrix} m+2 \\ m-1 \end{pmatrix} \gamma_1^3 \beta_1^{m-1} C_m &= 0 \\
\begin{pmatrix} m+1 \\ 0 \end{pmatrix} \gamma_1^{m+1} C_1 + \begin{pmatrix} m+1 \\ 1 \end{pmatrix} \gamma_1^m \beta_1 C_2 + \dots + \begin{pmatrix} m+1 \\ m-1 \end{pmatrix} \gamma_1^2 \beta_1^{m-1} C_m &= A_{m+1} \\
\begin{pmatrix} m \\ 0 \end{pmatrix} \gamma_1^m C_1 + \begin{pmatrix} m \\ 1 \end{pmatrix} \gamma_1^{m-1} \beta_1 C_2 + \dots + \begin{pmatrix} m \\ m-1 \end{pmatrix} \gamma_1^1 \beta_1^{m-1} C_m &= A_m \\
\begin{pmatrix} 2m \\ 0 \end{pmatrix} \gamma_2^{2m} D_1 + \begin{pmatrix} 2m \\ 1 \end{pmatrix} \gamma_2^{2m-1} \beta_2 D_2 + \dots + \begin{pmatrix} 2m \\ m-1 \end{pmatrix} \gamma_2^{m+1} \beta_2^{m-1} D_m &= 0 \\
\dots & \dots \dots \\
\begin{pmatrix} m+2 \\ 0 \end{pmatrix} \gamma_2^{m+2} D_1 + \begin{pmatrix} m+2 \\ 1 \end{pmatrix} \gamma_2^{m+1} \beta_2 D_2 + \dots + \begin{pmatrix} m+2 \\ m-1 \end{pmatrix} \gamma_2^3 \beta_2^{m-1} D_m &= 0 \\
\begin{pmatrix} m+1 \\ 0 \end{pmatrix} \gamma_2^{m+1} D_1 + \begin{pmatrix} m+1 \\ 1 \end{pmatrix} \gamma_2^m \beta_2 D_2 + \dots + \begin{pmatrix} m+1 \\ m-1 \end{pmatrix} \gamma_2^2 \beta_2^{m-1} D_m &= B_{m+1} \\
\begin{pmatrix} m \\ 0 \end{pmatrix} \gamma_2^m D_1 + \begin{pmatrix} m \\ 1 \end{pmatrix} \gamma_2^{m-1} \beta_2 D_2 + \dots + \begin{pmatrix} m \\ m-1 \end{pmatrix} \gamma_2^1 \beta_2^{m-1} D_m &= B_m.
\end{aligned}$$

It follows that

$$\begin{aligned}
\gamma_1 A_m &= m A_{m+1}, & \gamma_2 B_m &= m B_{m+1} \\
A_{m+1} &= \beta_0^{2m-1} B_{m+1}, & A_m &= \beta_0^{2m} B_m + 2m\beta_0^{2m-1} \gamma_0 B_{m+1}.
\end{aligned}$$

According to the conditions (4.2) and (4.3) we conclude that  $A_m = A_{m+1} = B_m = B_{m+1} = 0$ , then all  $A$ 's,  $B$ 's,  $C$ 's, and  $D$ 's are zero. This completes the proof.

**Lemma 4.4** *For  $n = 3$ , we take the minimal determining set for  $S_{3m}^{2m}(\Delta_6)$  defined as in [12].*

We first note the fact that if  $v$  is an interior vertex of  $\deg(v) = 3$  in  $\Delta$  and  $vw$  is an edge of  $\Delta$  (where  $w$  is another interior vertex of  $\Delta$ ), then  $\deg(w) \geq 4$ . Now we are in a position to state the main results.

**Theorem 4.5** For  $S_{3r}^r(\Delta^*)$  ( $r = 2m$ ), let  $\mathcal{P}$  be the union of the following sets of domain points:

1. For each interior vertex  $v_i$  with  $\deg(v_i) = 3$  in  $\Delta$ , use Lemma 4.4 to choose a minimal determining set for  $S_{3r}^r(\Delta^*)$  on the disk  $D_{3m}(v_i)$  and mark the edge of  $\Delta$  whose middle point is not chosen in the process;
2. For each other interior vertex  $v_i$  of  $\Delta$ , use Lemma 4.9 to choose a determining set for  $S_{3r}^r(\Delta^*)$  on  $D_{3m}(v_i)$  such that  $v_1$  in Lemma 4.9 corresponds to a certain one vertex in  $\Delta$ , except for the middle points of edges marked;
3. For each boundary vertex  $v_i$  ( $i = V_I + 1, \dots, V_I + V_B$ ), use Lemma 2.2 to choose a minimal determining set on  $D_{3m}(v_i)$ ;
4. For each edge  $\eta = v_1v_2$  of  $\Delta$ , in one of two triangles with  $\eta$  as an edge choose all domain points which are distance less than  $2m + 1$  from  $\eta$  but out of  $D_{3m}(v_1)$  and  $D_{3m}(v_2)$ ;
5. For each triangle of  $\Delta$ , use items (2) and (3) of Theorem 4.2 to choose all of corresponding domain points.

Then  $\mathcal{P}$  is a minimal determining set for  $S_{3r}^r(\Delta^*)$ .

**Proof** Clearly we can see that the cardinality of  $\mathcal{P}$  is given by

$$\begin{aligned}
 \#\mathcal{P} &= \left( \binom{3m+2}{2} - 2 \binom{m+1}{2} \right) V_B + \sum_{i=1}^{V_I} \sigma_i + (2E + 3N) \binom{m+1}{2} \\
 &\quad \left( \binom{2m+2}{2} V_I + E \binom{2m}{2} + 3N \left( \binom{2m-1}{2} + (2m-1) \right) + N - E \right) \\
 &= \binom{6m+2}{2} + \binom{4m+1}{2} E_I^* + \left( \binom{2m+2}{2} - \binom{6m+2}{2} \right) V_I^* \\
 &\quad + Nm^2 + \sum_{i=1}^{V_I} \sigma_i.
 \end{aligned}$$

This quality is equal to that of (1.3) for  $r = 2m$ . The proof for the determining property of  $\mathcal{P}$  is very similar to that in the odd case, so we omit it here.

**Theorem 4.6** For  $r = 2m$  ( $m > 1$ ) there exists a local basis of space  $S_{3r}^r(\Delta^*)$ , say

$$A(\mathcal{P}) = \{B_P \in S_{3r}^r(\Delta^*) : \lambda_Q B_P = \Delta_{PQ}, P, Q \in \mathcal{P}\}$$

And when  $P$  is in the set of items (1), (2) and (3) of Theorem 4.4,  $\text{supp} B_P$  consists of all triangles with a vertex at  $v_i$  or another vertex  $u_i$  adjacent to  $v_i$  such that  $P \in D_{3m}(u_i)$ .

For  $P$  in the set of item (4)  $\text{supp} \mathcal{B}_P$  composes of two triangles of  $\Delta$  with  $\eta$  as an edge and for  $P$  in the set of item (5)  $\text{supp} \mathcal{B}_P$  is only one triangle of  $\Delta$  containing  $P$ .

## 5. Super-spline subspaces

Super-spline subspaces were introduced and studied by some authors. In order to discuss some subspaces of  $S_{3r}^r(\Delta^*)$ , following [3], we can extend the concept of super-spline.

**Definition 5.1** Let  $\Delta^*$  be the HCT triangulation of a given triangulation  $\Delta$ . We define the subspace of  $S_d^r(\Delta^*)$  with enhanced smoothness  $\rho \geq r$  at the vertices of  $\Delta$  by

$$S_d^{r,\rho}(\Delta^*) = \{s \in S_d^r(\Delta^*) : s \in \mathcal{C}^\rho(v_i), i = 1, \dots, V_I\}, \quad (5.1)$$

where  $\mathcal{C}^\rho(v) = \{s : s \text{ has derivatives up to order } \rho \text{ at } v\}$ . We refer  $s \in S_d^{r,\rho}(\Delta^*)$  to quasi-super-spline of  $S_d^r(\Delta^*)$ .

**Theorem 5.2** Let  $\Delta^*$  be the HCT triangulation of  $\Delta$ . Then we have the following dimension formulae:

$$\dim S_{6m}^{2m,3m-1}(\Delta^*) = \binom{3m+1}{2} V + \left( \binom{2m}{2} + (2m-1) \right) E \quad (1)$$

$$+ 3 \left( \binom{2m-1}{2} + (3m-1) \right) N + N + V_s + (m+1)V_B \quad (2)$$

and

$$\dim S_{6m+3}^{2m+1,3m+1}(\Delta^*) = \binom{3m+3}{2} V + \binom{2m+2}{2} E + 3 \binom{2m+1}{2} N.$$

### Remarks:

1. It is also possible to give similar results for the case where the enhanced smoothness order  $r \leq \rho \leq 3m-1$  for  $r = 2m$  and  $r \leq \rho \leq 3m+1$  for  $r = 2m+1$  as in [3].
2. In [7] the interpolation problems for super-spline subspaces of  $S_d^r(\Delta)$  with  $d \geq 3r+2$  were discussed. We may study some interpolation schemes for  $S_{6m}^{2m,3m-1}(\Delta^*)$  and  $S_{6m+3}^{2m+1,3m+1}(\Delta^*)$  whose interpolants can be calculated out explicitly, and also can proceed the approximation theorem by subspaces  $S_{6m}^{2m,3m-1}(\Delta^*)$  and  $S_{6m+3}^{2m+1,3m+1}(\Delta^*)$ .
3. When  $r = 2$ , the dimension formula about the spline space  $S_6^2(\Delta^*)$  may be established necessarily in other ways. In [9], we construct an explicit interpolation scheme for  $S_6^2(\Delta^*)$ , but the scheme is not local. We conjecture that in general there doesn't exist a local basis for  $S_6^2(\Delta^*)$ .

## References

- [1] P. Alfeld, On the dimension of piecewise polynomial functions, in *Numerical Analysis*, D.F. Griffiths and G.A. Watson eds., Longman Scientific Technical, London 1986, 1–23.
- [2] P. Alfeld and L.L. Schumaker, The dimension of bivariate spline spaces of smoothness  $r$  for degree  $d \geq 4r + 1$ , *Constructive Approximation*, Vol.3 (1987), 189–197.
- [3] P. Alfeld, B. Piper and L.L. Schumaker, On the dimension of bivariate spline spaces of smoothness  $r$  and degree  $d = 3r + 1$ , *Numer. Math.* Vol.57 (1990), 651–661.
- [4] P. Alfeld, B. Piper and L.L. Schumaker, Minimally supported bases for spaces of bivariate piecewise polynomials of smoothness  $r$  and degree  $d \geq 4r + 1$ , *Computer Aided Geometric Design*, Vol.4 (1987), 105–123.
- [5] P. Alfeld, B. Piper and L.L. Schumaker, An explicit basis for  $C^1$  quartic bivariate splines, *SIAM J. Numer. Anal.*, Vol.24 (1987), 891–911.
- [6] C. deBoor, B-form basics, in *Geometric Modelling*, G. Farin eds., Society for Industrial and Applied Mathematics, Philadelphia PA, 1987.
- [7] C.K. Chui and M.J. Lai, On bivariate super vertex splines, CAT Report no. 164, 1988.
- [8] G. Farin, Triangular Bernstein-Bézier patches, *Computer Aided Geometric Design*, Vol. 3 (1986), 83–128.
- [9] J.B. Gao, A  $C^2$  finite element and interpolation, *Computing*, Vol.50 (1993), 69–76.
- [10] J. MOrgan and R. Scott A nodal basis for  $C^1$  piecewise polynomials of degree  $n \geq 5$ , *Math. Comp.*, Vol.29 (1975), 736–740.
- [11] L.L. Schumaker, On the dimension of spaces of piecewise polynomials in two variables, in *Multivariate Approximation Theory*, W. Schempp and K. Zeller eds., Basel, Birkhauser 1979, 396–412.
- [12] L.L. Schumaker, Dual bases for spline spaces on cells, *Computer Aided Geometric Design*, Vol.5 (1988), 277–284.

## 关于二元样条空间 $S_{3r}^r(\Delta^*)$ 的维数

高俊斌

(华中理工大学数学系, 武汉 430074)

### 摘 要

设  $\Delta^*$  是任何三角剖分  $\Delta$  的 HCT 细分的三角剖分. 本文建立了定义于  $\Delta^*$  上的二元样条函数空间  $S_{3r}^r(\Delta^*)$  的维数公式. 我们的证明方法同时给出了  $S_{3r}^r(\Delta^*)$  的一组显示的基函数, 并阐明基函数具有某种意义的局部最小支集.