

# 关于 Baskakov-Durmeyer 算子的一致逼近\*

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**摘要** 本文首先给出了Baskakov-Durmeyer 算子在一致逼近意义下的正定理, 并把它推广到一类线性组合的情形, 然后讨论了它的导数与光滑模的等价关系, 最后给出了二元Baskakov-Durmeyer 算子逼近阶的特征刻画

**关键词** Baskakov-Durmeyer 算子, 一致逼近, 线性组合, 光滑模, 特征刻画

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## 1 引 言

周知, 利用概率论中的格子点分布可以方便地给出Baskakov 算子, 由于它在概率论和逼近论中的广泛应用, 至今已有众多的研究<sup>[2], [7], [8]</sup>, 最近M. Heilmann 在[1] 中引进了如下的Baskakov-Durmeyer 算子:

$$L_n(f; x) = \sum_{k=0}^{n-1} P_{nk}(x)(n-1) \int_0^1 P_{nk}(t)f(t)dt,$$

并给出了如下结果: 如  $f \in L_p[0, 1], 1 \leq p < \infty$ , 则有

$$\begin{aligned} \|L_n(f) - f\| &\leq M \{W_\varphi^2(f; n^{-\frac{1}{2}}) + n^{-1}\|f\|_p\}; \\ \|\partial^\alpha(L_n(f))^{(2r)}\|_p &= O(n^{r-\alpha}) \Leftrightarrow \omega_p(t, t)_p = O(t^{2\alpha}). \end{aligned}$$

显然上述结果中当  $p = 1$  时没有解决, 这是因为  $p = 1$  时不等式  $\|f\| \leq M(\|f\| + \|\partial^\alpha f\|)$  不成立<sup>[2]</sup>. 本文旨在解决这一问题, 即研究在一致逼近意义下的正定理及导数与光滑模的等价性等问题

## 2 正 定 理

**定理 2.1** 如  $f \in C_{B[0, 1]}$ , 则

$$\|L_n(f) - f\| \leq M \omega(f, (\frac{\varphi(x)}{n} + \frac{1}{n^2})^{\frac{1}{2}}), \quad (2.1)$$

此处  $C_{B[0, 1]}$  表示  $[0, 1]$  上有界且连续的函数集,  $M$  表示常数(本文总用  $M$  表示不同常数).

$\omega(f, t) = \sup_{0 < h < t} \|\Delta_h^r f\|$ ,  $\Delta_h^r f(x) = \sum_{k=0}^{r-1} (-1)^{r-k} \binom{r}{k} f(x + (k - \frac{r}{2})h), x > \frac{rh}{2}$  (如  $x > \frac{rh}{2}$ , 则

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令  $\Delta_h^r f = 0$ ,  $\varphi(x) = x(1+x)$ .

**证明** 记  $W_{n,m}(x) = L_n((x - \bullet)^m; x), n \in N, m \in N_0$ , 则由[1] 可知

$$W_{n,0}(x) = 1, W_{n,1}(x) = -\frac{1+2x}{n+1}, W_{n,2n}(x) = \sum_{i=0}^m q_{i,2n} \left(\frac{\varphi(x)}{n}\right)^{m-i} \bullet n^{-2i}, \quad (2.2)$$

$$W_{n,2n+1}(x) = (1+2x) \sum_{i=1}^m q_{i,2n+1} \left(\frac{\varphi(x)}{n}\right)^{m-i} \bullet n^{-2i-1},$$

这里的  $q_{i,m}, q_{i,2n+1}$  均是与  $x$  无关且关于  $n$  是一致有界之实数. 命  $D_r$  表示如下 Sobolev 空间:

$$D_r := \{g | g \in C_{B[0,1]}, g^{(r-1)} \text{ A.C. loc } g^{(r)} \in C_{B[0,1]}\}.$$

命  $\|g\|_{p,r} = \|g\| + \|g^{(r)}\|$ , 则如  $g \in D_r$ , 由 Hölder 不等式与(2.2) 可得

$$\begin{aligned} |L_n(g; x) - g| &\leq |L_n((t-x); x)| \|g\| + \|g\| \{L_n((t-x)^2; x)\}^{\frac{1}{2}} \\ &\leq M \left(\frac{\varphi(x)}{n} + \frac{1}{n^2}\right)^{\frac{1}{2}} \|g\|. \end{aligned} \quad (2.3)$$

记  $K_r(f, t^r) := \inf_{g \in D_r} \{\|f - g\| + t^r \|g\|_{p,r}\}$ , 则由[2] 可知

$$K_r(f, t^r) \sim \omega(f, t), \quad (2.4)$$

于是由(2.2), (2.3) 可知

$$\begin{aligned} |L_n(f) - f| &\leq |L_n((f-g); x)| + |f-g| + |L_n(g) - g| \\ &\leq M (\|f-g\| + \|g\| \left(\frac{\varphi(x)}{n} + \frac{1}{n^2}\right)^{\frac{1}{2}}) \\ &\leq M K_1(f, \left(\frac{\varphi(x)}{n} + \frac{1}{n^2}\right)^{\frac{1}{2}}) = M \omega(f, \left(\frac{\varphi(x)}{n} + \frac{1}{n^2}\right)^{\frac{1}{2}}). \end{aligned}$$

因此定理成立.

现将它推广到线性组合的情形. 设

$$L_{n,r}(f; x) = \sum_{k=0}^{r-1} c_k(n) L_{n_i}(f; x), \quad (2.5)$$

此处的  $c_i(n)$  满足如下条件:

$$\begin{aligned} (a) \quad n = n_0 < n_1 < \dots < n_{r-1} < k_0 n, \quad (b) \quad \sum_{i=0}^{r-1} |c_i(n)| = k. \\ (c) \quad \sum_{i=0}^{r-1} c_i(n) = 1, \quad (d) \quad \sum_{i=0}^{r-1} c_i(n) n_i^{-\rho} = 0, \rho = 1, 2, \dots, r-1. \end{aligned} \quad (2.6)$$

**定理 2.2** 设  $f \in C_{B[0,1]}$ , 则

$$|L_{n,r}(f) - f| \leq M \omega(f, \left(\frac{\varphi(x)}{n} + \frac{1}{n^2}\right)^{\frac{1}{2}}). \quad (2.7)$$

**证明** 由(2.2), (2.6) 可知  $L_{n,r}((\bullet-x)^k; x) = 0, k = 1, 2, \dots, r-1$ , 因此, 如取  $g \in D_r$ , 则由 Hölder 不等式可得

$$\begin{aligned} |L_{n,r}(g) - g(x)| &\leq |L_{n,r}(\int_x^t (t-u)^{r-1} g^{(r)}(u) du / (r-1)!; x)| \\ &\leq \sum_{i=0}^{r-1} |c_i(n)| \cdot |L_{n_i}(|t-x|^r; x)| \cdot \|g^{(r)}\| \\ &\leq \sum_{i=0}^{r-1} |c_i(n)| \cdot |L_{n_i}((t-x)^{2r}; x)|^{\frac{1}{2}} \cdot \|g^{(r)}\| \end{aligned}$$

$$\sum_{i=0}^{r-1} |c_i(n)| \left( M_1(r+1) \left( \frac{\varphi(x)}{n} + \frac{1}{n^2} \right)^{\frac{1}{2}} \right)^r \cdot \|g^{(r)}\| \\ k_0 (M_1(r+1))^{\frac{1}{2}} \left( \frac{\varphi(x)}{n} + \frac{1}{n^2} \right)^{\frac{r}{2}} \|g^{(r)}\|, \quad (2.8)$$

于是结合(2.4), 可得定理成立:

$$|L_{n,r}(f) - f| = |L_{n,r}(f - g)| + |f - g| + |L_{n,r}(g) - g| \\ (k_0 + 1) \|f - g\| + k_0 (M_1(r+1))^{\frac{1}{2}} \left( \frac{\varphi(x)}{n} + \frac{1}{n^2} \right)^{\frac{r}{2}} \|g^{(r)}\| \\ M_2 k_r(f, (\frac{\varphi(x)}{n} + \frac{1}{n^2})^{\frac{r}{2}}) = M \omega(f; (\frac{\varphi(x)}{n} + \frac{1}{n^2})^{\frac{1}{2}}).$$

### 3 导数与光滑模的等价性

**定理 3.1** 设  $f \in C_{B[0,1]}$ ,  $r \in N$ ,  $0 < \alpha < r$ , 则有

$$|L_n^{(r)}(f; x)| = M(\min\{n^2, \frac{n}{\varphi(x)}\})^{\frac{r-\alpha}{2}} \Leftrightarrow \omega(f, h) = O(h^\alpha).$$

**证明** 当  $x \in [0, 1]$ ,  $n \in N$  时, 由[1] 可知  $|L_n^{(r)}(f - g)| \leq 2^r n^r \|f - g\|$ , 此处  $f \in C_{B[0,1]}$ ,  $g \in D_r$ , 又  $|\varphi(x)|^{\frac{r}{2}} L_n^{(r)}(g)| \leq M n^{\frac{r}{2}} \|g\|$ , 于是可得

$$|L_n^{(r)}(f; x)| = |L_n^{(r)}(f - g)| + |L_n^{(r)}(g)| \\ M \min\{2^r n^r, (\frac{n}{\varphi(x)})^{\frac{r}{2}}\} \|f - g\| + M n^{\frac{r}{2}} \|g\| \\ M_5 (\min\{n^2, \frac{n}{\varphi(x)}\})^{\frac{r}{2}} \{ \|f - g\| + \min\{n^2, \frac{n}{\varphi(x)}\}^{\frac{r}{2}} \|g^{(r)}\| \},$$

因此有  $|L_n^{(r)}(f)| = M_6 (\min\{n^2, \frac{n}{\varphi(x)}\})^{\frac{r}{2}} k_r(f, (\min\{n^2, \frac{n}{\varphi(x)}\})^{-\frac{r}{2}})$ , 结合(2.4), 如  $\omega(f, h) = O(h^\alpha)$ , 则可得

$$|L_n^{(r)}(f)| = M (\min\{n^2, \frac{n}{\varphi(x)}\})^{\frac{r}{2}} \cdot (\min\{n^2, \frac{n}{\varphi(x)}\})^{-\frac{\alpha}{2}} = M (\min\{n^2, \frac{n}{\varphi(x)}\})^{\frac{r-\alpha}{2}}.$$

充分性得证 下证必要性: 由于  $L_n(f)$  具有交换性<sup>[5]</sup>, 故由定理 2.2 可知

$$|\Delta L_m(t; x)| = \left| \sum_{j=0}^{r-1} \binom{r}{j} (-1)^{r-j} \{ L_{n,r}(L_m(f; x + (j - \frac{t}{2})t)) - L_m(f; x + (j - \frac{t}{2})t) \} \right| \\ + \left| \sum_{i=0}^{r-1} c_i(n) \Delta L_{n,i}(L_m(f; x)) \right| \sum_{j=0}^{r-1} \binom{r}{j} M_7 \omega(L_m(f; (\frac{1}{n^2} + \frac{\varphi(x) + (j - \frac{t}{2})t}{n})^{\frac{1}{2}})) \\ + \sum_{i=0}^{r-1} |c_i(n)| \left| \frac{\frac{t}{2}}{\frac{n}{2}} \dots \frac{\frac{t}{2}}{\frac{n}{2}} \right|^{\frac{r}{2}} |L_m^{(r)}(L_{n,i}(f); x + \sum_{j=1}^r u_j)| d_{u_1} d_{u_2} \dots d_{u_r},$$

因此有(记  $d(n, \varphi(x), t) := \max\{\frac{1}{n}, ((\varphi(x) + \frac{rt}{2})/n)^{\frac{1}{2}}\}$ )

$$|\Delta L_m(t)| = 4 M_7 \omega(L_m(f), d(n, \varphi(x), t)) + \sum_{i=0}^{r-1} |c_i(n)| \cdot \|L_{n,i}^{(r)}(t)\| \cdot t^r.$$

注意到  $d(n, \varphi(x), t) < d(n-1, \varphi(x), t) \leq 2d(n, \varphi(x), t)$ , 因此如  $|L_n^{(r)}(f)| \leq M \min\{n^2,$

$\frac{n}{\varphi(x)} \}^{\frac{r-\alpha}{2}}$ , 则有  $|\Delta_r L_m(f)| = (4M_7 + k_0) \{ \omega(L_m(f), d(n, \varphi_x), t) + t^r d(n, \varphi_x), t)^{\alpha-r} \}$ .

选取  $\delta = (0, \frac{1}{8r})$ , 则存在  $n > N$ , 使得  $d(n, \varphi_x), t) - \delta = 2d(n, \varphi_x), t)$ , 因此可得

$$|\Delta_r L_m(f)| = (4M_7 + k_0) \{ \omega(L_m(f), \delta) + t^r \delta^{\alpha-r} \},$$

于是成立  $\omega(L_m(f), h) = (4M_7 + k_0) \{ \omega(L_m(f), \delta) + t^r \delta^{\alpha-r} \}$ . 命  $T = 4M_7 + k_0 + 1$ ,  $\delta = h/T$ , 则由归纳法可得

$$\omega(L_m(f), h) = M_8 ((T^{\alpha-r})^k \|L_m^{(r)}(f)\| h^r + h^{\alpha-r}).$$

注意到  $T > 1$ , 令  $k = 0$ , 则有  $\omega(L_m(f), h) = M_8 h^{\alpha-r}$ . 又由于  $|\Delta_r f(x)| = \lim_m |\Delta_r L_m(t)| = M_8 T^r h^{\alpha}$ , 因此有  $\omega(f, h) = M_8 h^{\alpha}$ .

## 4 多元情形

设  $L_{n,m}(f; x, y)$  为如下二元 Baskakov-Durrmeyer 算子:

$$L_{n,m}(f; x, y) = \sum_{k=0}^n \sum_{l=0}^m P_{nk}(x) P_{ml}(y) (n-1)(n-2) \dots (n-k) \int_0^1 \int_0^1 P_{nk}(s) P_{ml}(t) f(s, t) ds dt \quad (4.1)$$

此处  $I^2 = [0, \dots) \otimes [0, \dots)$ ,  $f(s, t) \in C_B[I^2]$  令

$$f_s(t) = f(s, t) (\text{固定 } s \in [0, \dots)); \quad f^t(s) = f(s, t) (\text{固定 } t \in [0, \dots)).$$

则显见  $L_{n,m}(f; x, y)$  具有交换性, 即成立

$$L_{n,m}(f; x, y) = L_n(L_m(f_s(t); y); x) = L_m(L_n(f^t(s); x); y).$$

为方便计, 本节中的  $n, m$  满足如下条件<sup>[5]</sup>:

$$0 < k_1 - \frac{n}{m} < k_2 < 1 \quad (k_1, k_2 \text{ 为常数}). \quad (4.2)$$

记  $D^* := \{f \in C_B[I^2], \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \in A.C. loc(I^2), \frac{\partial^2 f}{\partial y^2}, \frac{\partial^2 f}{\partial x^2}, \varphi(x) \frac{\partial^2 f}{\partial x^2}, \varphi(y) \frac{\partial^2 f}{\partial y^2} < +\infty\}$  为 Sobolev 空间. 令  $\|U(f)\| = \|\varphi(x) \frac{\partial^2 f}{\partial x^2}\| + \|\varphi(y) \frac{\partial^2 f}{\partial y^2}\|$ ;  $\|V(f)\| = \|\frac{\partial f}{\partial x}\| + \|\frac{\partial f}{\partial y}\|$ ;  $\|S_h(f)\| = \|U(f)\| + h\|V(f)\|$ . 此处  $\|f(x, y)\| = \sup_x \sup_y |f(x, y)|, (x, y) \in I^2$ .

**定理 4.1** 设  $f \in C_B[I^2], 0 < \alpha < 1$ , 则下列三式等价:

$$(i) \quad \|V_{n,m}(f; x, y) - f(x, y)\| = O(n^{-\alpha}).$$

$$(ii) \quad K_{1,2}(f, t) = O(t^\alpha).$$

$$(iii) \quad (a) \quad \|\Delta_{h\varphi_x}^2 f(x, y)\| = O(h^{2\alpha}), \quad (b) \quad \|\Delta_{h\varphi_y}^2 f(x, y)\| = O(t^{2\alpha}).$$

此处  $K_{1,2}(f, t) = \inf_g \{ \|f - g\| + t\|S_h(g)\| \}, \Delta_{h\varphi_x} f(x, y) = f(x + \frac{h}{2}, y) - f(x - \frac{h}{2}, y)$ ,

$$\Delta_{h\varphi_y} f = f(x, y + \frac{t}{2}) - f(x, y - \frac{t}{2}), \Delta_{h\varphi_y}^2 f = \Delta_{h\varphi_x}(\Delta_{h\varphi_x}(f)), \Delta_{h\varphi_y}^2 f = \Delta_{h\varphi_x}(\Delta_{h\varphi_y} f).$$

由  $L_{n,m}(f)$  的交换性, 立得

**引理 4.2** 如  $f \in C_B[I^2]$ , 则有  $\|L_{n,m}(f)\| = \|f\|$ .

**引理 4.3** 如  $f \in D^*$ , 则有  $\|L_{n,m}(f) - f\| = \frac{M}{n} \|S_{\frac{1}{n}}(f)\|$ .

**证明** 应用[5]中的二元分解技巧, 可得

$$L_{n,m}(f) - f = L_n(L_m(f_s(t); y); x) - L_n(f_s(t); x) + L_n(f'(s); x) - f^2(x) := I + J. \quad (4.3)$$

由[1, p. 121]可知

$$\|I\| = \|L_n(L_m(f_s(t); y); x) - L_m(f_s(t); x)\| = \|L_n(L_m(f_s(t); y) - f_s(t); x)\| \\ = \frac{M}{m} \left\| (\hat{\varphi}_y + \frac{1}{m} \frac{\partial f}{\partial y^2}) \right\|, \quad (4.4)$$

结合(4.2), 即得  $\|I\| = \frac{M}{n} \left( \|\hat{\varphi}_y \frac{\partial f}{\partial y^2}\| + \frac{1}{n} \|\frac{\partial f}{\partial y^2}\| \right)$ .

同理可得  $\|J\| = \frac{M}{n} \left( \|\hat{\varphi}_x \frac{\partial f}{\partial x^2}\| + \frac{1}{n} \|\frac{\partial f}{\partial x^2}\| \right)$ , 代入(4.3), 即得引理

**引理4.4** 如  $f \in C_B(I^2)$ , 则有  $\|S_{\frac{1}{n}}(L_{n,m}(f))\| \leq M n \|f\|$ .

**证明** 由[1, p 110]及(4.2), 可得

$$\left\| \hat{\varphi}_x \frac{\partial}{\partial x^2} L_{n,m}(f) \right\| = \left\| \hat{\varphi}_x \frac{\partial}{\partial x^2} L_n(L_m(f_s(t); y); x) \right\| \\ = M n \left\| \hat{\varphi}_x L_m(f_s(t); y) \right\| \leq M n \|f\|.$$

同理有  $\left\| \hat{\varphi}_y \frac{\partial}{\partial y^2} L_{n,m}(f) \right\| \leq M n \|f\|$ . 又由[1, (3.9)]可得

$$\left\| \frac{\partial}{\partial x^2} L_{n,m}(f) \right\| \leq M n^2 \|f\|; \quad \left\| \frac{\partial}{\partial y^2} L_{n,m}(f) \right\| \leq M n^2 \|f\|.$$

于是引理成立

**引理4.5** 设  $f \in D^*$ , 则有  $\|S_{\frac{1}{n}}(L_{n,m}(f))\| \leq M \|S_{\frac{1}{n}}(f)\|$ .

**证明** 类似于引理4.4的证明, 有

$$\left\| \hat{\varphi}_x \frac{\partial}{\partial x^2} L_{n,m}(f) \right\| \leq M \left\| L_m(\hat{\varphi}_x \frac{\partial}{\partial x^2} L_n(f'(s); x)) \right\| \\ = M \left\| \hat{\varphi}_x \frac{\partial}{\partial x^2} L_n(f'(s); x) \right\| \leq M \left\| \hat{\varphi}_x \frac{\partial f}{\partial x^2} \right\|.$$

同理可得  $\left\| \hat{\varphi}_y \frac{\partial}{\partial y^2} L_{n,m}(f) \right\| \leq M \left\| \hat{\varphi}_y \frac{\partial f}{\partial y^2} \right\|$ . 又由[1, (3.11)]可得

$$\left\| \frac{\partial}{\partial x^2} L_{n,m}(f) \right\| \leq M \left\| \frac{\partial f}{\partial x^2} \right\|; \quad \left\| \frac{\partial}{\partial y^2} L_{n,m}(f) \right\| \leq M \left\| \frac{\partial f}{\partial y^2} \right\|.$$

因此引理4.5成立

**定理4.1的证明** 由上述引理及A. Grundmann<sup>[6]</sup>的结论, (i)  $\Rightarrow$  (ii) 是显然的

(ii)  $\Rightarrow$  (iii): 设  $g \in D^*$ , 则

$$\left\| \Delta_{hQ_x}^2(f) \right\| = \left\| \Delta_{hQ_x}^2(f - g) \right\| + \left\| \Delta_{hQ_x}^2(g) \right\| \\ \leq M \|f - g\| + \left\| \iint_{\frac{hQ_x}{2}} \frac{\partial^2}{\partial x^2} g(x + u_1 + u_2, y) d_{u_1} d_{u_2} \right\| \\ \leq M \|f - g\| + h^2 \left\| \hat{\varphi}_x \frac{\partial^2 g}{\partial x^2} \right\|,$$

于是可得  $\left\| \Delta_{hQ_x}^2(f) \right\| \leq M K_{1,2}(f, h^2) = M h^{2\alpha}$ . 同理可证明  $\left\| \Delta_{hQ_y}^2(f) \right\| \leq M t^{2\alpha}$ . (ii)  $\Rightarrow$  (iii)

得证 最后证 (iii)  $\Rightarrow$  (i): 由(iii) 可得  $\omega_p^2(f'(s); h) = O(h^{2\alpha})$ , 此处  $\omega_p^2(f, t)$  为二阶加权光滑模<sup>[2]</sup>.

于是由[1,p124]可得

$$\left\| L_{n,m}(f) - f \right\| = \left\| L_m(L_n(f^t(s) - f^y(x))) \right\| + \left\| L_n(f^t(s) - f^y(x)) \right\| \\ M (\bar{K}_\varphi^2(f, n^{-1}) + n^{-1} \|f\|).$$

由于  $\bar{K}_\varphi^2(f^t(s), n^{-1}) \sim \omega_\varphi^2(f^t(s); n^{-\frac{1}{2}})$ , 因此由(iii)知

$$\left\| L_{n,m}(f) - f \right\| \leq M ((\omega_\varphi^2(f^t(s), n^{-\frac{1}{2}}) + n^{-1}) + M n^{-\alpha}).$$

定理证毕.

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## Uniform Approximation by Baskakov-Durmeyer Operators

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### Abstract

We discuss the direct theory of Baskakov-Durmeyer operators  $L_n(f)$  in uniform approximation and the equivalence between the derivative of  $L_n(f)$  and the moduli of smoothness, then we extend the direct theory to a class of combinations of  $L_n(f)$ . We obtain the characterizations of multidimensional Baskakov-Durmeyer operators in uniform approximation.

**Keywords** Baskakov-Durmeyer operators, uniform approximation, moduli of smoothness, characterization

