

# Dual Toeplitz Algebra on the Polydisk

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**Abstract** In this paper, we prove the dual Toeplitz algebra  $\mathcal{I}(C(\overline{D^n}))$  contains the ideal  $\mathcal{K}$  of compact operators as its semicommutator ideal, and study its algebraic structure. We also get some results about spectrum of dual Toeplitz operators.

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## 1. Introduction

Let  $D^n (n \geq 2, n \in \mathbb{Z})$  be the unit polydisk with normalized Lebesgue measure  $dA$ . The Bergman space  $L_a^2(D^n)$  is defined by  $L_a^2(D^n) = L^2(D^n) \cap H(D^n)$ , where  $H(D^n)$  denotes the class of all the analytic functions on  $D^n$ . For  $w \in D^n$ , the Möbius transformation is given by  $\varphi_w(z) = (\varphi_{w_1}(z_1), \dots, \varphi_{w_n}(z_n))$ , where  $\varphi_{w_i}(z_i) = \frac{w_i - z_i}{1 - \overline{w_i}z_i}$ ,  $z_i \in D$ ,  $1 \leq i \leq n$ . For  $f, g \in L^2(D^n)$ , we define the one rank operator  $f \otimes g$  as

$$(f \otimes g)h = \langle h, g \rangle f, \quad h \in L^2(D^n).$$

Let  $P$  denote the orthogonal projection from  $L^2(D^n)$  to  $L_a^2(D^n)$ , which can be represented by

$$(Ph)(z) = \int_{D^n} h(w) \overline{K_z(w)} dA(w), \quad h \in L^2(D^n), w \in D^n,$$

where  $K_z(w) = \prod_{i=1}^n \frac{1}{(1 - w_i \overline{z_i})^2}$  are the reproducing kernels for  $L_a^2(D^n)$ . The functions  $k_z(w) = \prod_{i=1}^n \frac{1 - |z_i|^2}{(1 - w_i \overline{z_i})^2}$  are the normalized reproducing kernels.

For  $f \in L^\infty(D^n)$ , Toeplitz operator  $T_f : L_a^2(D^n) \rightarrow L_a^2(D^n)$  is defined by

$$T_f(g) = P(fg), \quad g \in L_a^2(D^n).$$

Let  $Q = I - P$  and  $L_a^2(D^n)^\perp$  be the orthogonal complement of  $L_a^2(D^n)$  in  $L^2(D^n)$ . Then two other operators are given as follows:

Hankel operator  $H_f : L_a^2(D^n) \rightarrow L_a^2(D^n)^\perp$  is defined by

$$H_f(h) = Q(fh), \quad h \in L_a^2(D^n).$$

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Dual Toeplitz operator  $S_f : L_a^2(D^n)^\perp \rightarrow L_a^2(D^n)^\perp$  is defined by

$$S_f(u) = Q(fu), \quad u \in L_a^2(D^n)^\perp.$$

Based on the definitions of these operators as above, we can decompose the multiplication operator  $M_f(f \in L^\infty(D^n))$  on  $L^2(D^n)$  as

$$M_f = \begin{pmatrix} T_f & H_{\bar{f}}^* \\ H_f & S_f \end{pmatrix}.$$

The identity  $M_{fg} = M_f M_g$  implies

$$T_{fg} = T_f T_g + H_{\bar{f}}^* H_g, \quad (1.1)$$

$$S_{fg} = S_f S_g + H_f H_g^*, \quad (1.2)$$

$$H_{fg} = H_f T_g + S_f H_g. \quad (1.3)$$

If  $f$  is analytic in (1.3), then we have

$$H_{fg} = S_f H_g = H_g T_f. \quad (1.4)$$

These identities show the tight relationships between dual Toeplitz operators and Toeplitz operators, and Hankel operators. There have been many results about Toeplitz algebra. In 1969, R.Douglas<sup>[1]</sup> obtained the short exact sequence:

$$(0) \longrightarrow \text{com}\mathcal{I}(L^\infty(T)) \longrightarrow \mathcal{I}(L^\infty(T)) \longrightarrow L^\infty(T) \longrightarrow (0),$$

where  $\mathcal{I}(L^\infty(T))$  is the Toeplitz algebra on Hardy space  $H^2(T)$  generated by  $\{T_f : f \in L^\infty(T)\}$ , and  $\text{com}\mathcal{I}(L^\infty(T))$  is the commutator ideal in  $\mathcal{I}(L^\infty(T))$ . In [2], C.Yan and Sh.Sun completely characterized the automorphism group of the Toeplitz algebra generated by continuous symbols. G.McDonald and C.Sunberg<sup>[3]</sup> discussed the Toeplitz algebra with the symbols in  $C(\mathcal{M})$ , where  $\mathcal{M}$  is the maximal ideal space of  $H^\infty(D)$ .

For the dual Toeplitz operators, K.Stroethoff and D.Zheng<sup>[4]</sup> has proved the following short exact sequence:

$$(0) \longrightarrow \text{semi}\mathcal{I}(L^\infty(D)) \longrightarrow \mathcal{I}(L^\infty(D)) \longrightarrow L^\infty(D) \longrightarrow (0),$$

where  $\text{semi}\mathcal{I}(L^\infty(D))$  is the semicommutator ideal in  $\mathcal{I}(L^\infty(D))$ . [4] also proved that  $\text{semi}\mathcal{I}(L^\infty(D))$  is the class of all compact operators. This paper will extend these results to the polydisk.

## 2. Main resultes

The symbols  $\mathcal{I}$ , *semi* are the same as in introduction.

**Theorem 1** *The sequence*

$$(0) \longrightarrow \text{semi}\mathcal{I}(L^\infty(D^n)) \longrightarrow \mathcal{I}(L^\infty(D^n)) \longrightarrow L^\infty(D^n) \longrightarrow (0)$$

*is short exact.*

When  $n = 1$ , Theorem 1 has been proved in [4] by using the functions

$$g_{w,s}(z) = \overline{(z-w)}\chi_{w+sD}(z),$$

where  $w \in D$ ,  $0 < s < 1 - |w|$ . In the case of polydisk, we can use the functions  $G_{w,s}(z) = \prod_{i=1}^n \overline{(z_i - w_i)}\chi_{w_i+sD}(z_i)$ ,  $w \in D^n$ ,  $0 < s < \min\{1 - |w_i| : 1 \leq i \leq n\}$ . Since the proof is similar to that in [4], we omit it here.

**Theorem 2**  $\mathcal{K} \subseteq \text{semi}\mathcal{I}(L^\infty(D^n))$ , where  $\mathcal{K}$  is the class of all the compact operators on  $L_a^2(D^n)^\perp$ .

Before proving this theorem, we give some notations and lemmas. For any multi-index  $\alpha = (\alpha_1, \dots, \alpha_n)$ , where  $\alpha_k$  ( $1 \leq k \leq n$ ) are the nonnegative integers, we write  $|\alpha| = \alpha_1 + \dots + \alpha_n$ . For  $z = (z_1, \dots, z_n) \in D^n$ ,  $z^\alpha$  denotes  $z_1^{\alpha_1} \dots z_n^{\alpha_n}$ .

**Lemma 3** For  $w \in D^n$ , the identity

$$k_w \otimes k_w = \prod_{i=1}^n (I - 2T_{\varphi_{w_i}} T_{\bar{\varphi}_{w_i}} + T_{\varphi_{w_i}}^2 T_{\bar{\varphi}_{w_i}}^2) \quad (2.1)$$

holds on  $L_a^2(D^n)$ .

**Proof** By the proposition 4.1 in [5], for  $w \in D$ , we have that

$$k_w \otimes k_w = I - 2T_{\varphi_w} T_{\bar{\varphi}_w} + T_{\varphi_w}^2 T_{\bar{\varphi}_w}^2$$

on  $L_a^2(D)$ . Suppose  $f = z^\alpha \in L_a^2(D^n)$ , where  $\alpha$  is a multi-index. Then

$$(k_w \otimes k_w)z^\alpha = \prod_{i=1}^n \langle z_i^{\alpha_i}, k_{w_i} \rangle k_{w_i} = \prod_{i=1}^n (I - 2T_{\varphi_{w_i}} T_{\bar{\varphi}_{w_i}} + T_{\varphi_{w_i}}^2 T_{\bar{\varphi}_{w_i}}^2) z_i^{\alpha_i}.$$

But

$$\begin{aligned} T_{\bar{\varphi}_{w_i}}(z^\alpha) &= \int_{D^n} \overline{\varphi_{w_i}(\lambda_i)} \lambda^\alpha \overline{K_z(\lambda)} dA(\lambda) \\ &= \int_D \overline{\varphi_{w_i}(\lambda_i)} \lambda_i^{\alpha_i} \overline{K_{z_i}(\lambda_i)} dA(\lambda_i) \prod_{k \neq i} \int_D \lambda_k^{\alpha_k} \overline{K_{z_k}(\lambda_k)} dA(\lambda_k) \\ &= (T_{\bar{\varphi}_{w_i}}(z_i^{\alpha_i})) \prod_{k \neq i} z_k^{\alpha_k}. \end{aligned}$$

Similarly, we have

$$T_{\varphi_{w_i}}^2(z^\alpha) = (T_{\varphi_{w_i}}^2(z_i^{\alpha_i})) \prod_{k \neq i} z_k^{\alpha_k}.$$

So

$$(I - 2T_{\varphi_{w_i}} T_{\bar{\varphi}_{w_i}} + T_{\varphi_{w_i}}^2 T_{\bar{\varphi}_{w_i}}^2) z^\alpha = ((I - 2T_{\varphi_{w_i}} T_{\bar{\varphi}_{w_i}} + T_{\varphi_{w_i}}^2 T_{\bar{\varphi}_{w_i}}^2) z_i^{\alpha_i}) \prod_{k \neq i} z_k^{\alpha_k}.$$

At last we have

$$\prod_{i=1}^n (I - 2T_{\varphi_{w_i}} T_{\bar{\varphi}_{w_i}} + T_{\varphi_{w_i}}^2 T_{\bar{\varphi}_{w_i}}^2) z_i^{\alpha_i} = \left( \prod_{i=1}^n (I - 2T_{\varphi_{w_i}} T_{\bar{\varphi}_{w_i}} + T_{\varphi_{w_i}}^2 T_{\bar{\varphi}_{w_i}}^2) \right) z^\alpha.$$

Since  $k_w \otimes k_w$  is linear, (2.1) holds for any  $f \in L_a^2(D^n)$ .

In the following proof, we let  $\mathcal{S}$  denote  $\text{semi}\mathcal{I}(L^\infty(D^n))$  briefly.

**Lemma 4** For  $1 \leq i, j \leq n$ ,  $\bar{z}_i \otimes \bar{z}_j \in \text{semi}\mathcal{I}(L^\infty(D^n))$ .

**Proof** We only prove the case  $n = 2$ , and the general case can be proved similarly. The following identities are obvious:

$$\bar{z}_1 \otimes \bar{z}_2 = (H_{\bar{z}_1}1) \otimes (H_{\bar{z}_2}1) = H_{\bar{z}_1}(1 \otimes 1)H_{\bar{z}_2}^*.$$

From Lemma 3 we have

$$\begin{aligned} 1 \otimes 1 &= k_0 \otimes k_0 \\ &= \prod_{i=1}^2 (I - 2T_{z_i}T_{\bar{z}_i} + T_{z_i}^2T_{\bar{z}_i}^2) \\ &= I - 2(T_{z_1}T_{\bar{z}_1} + T_{z_2}T_{\bar{z}_2}) + (T_{z_1}^2T_{\bar{z}_1}^2 + T_{z_2}^2T_{\bar{z}_2}^2 + 4T_{z_1}T_{\bar{z}_1}T_{z_2}T_{\bar{z}_2}) - \\ &\quad 2(T_{z_1}T_{\bar{z}_1}T_{z_2}^2T_{\bar{z}_2}^2 + T_{z_2}T_{\bar{z}_2}T_{z_1}^2T_{\bar{z}_1}^2) + T_{z_1}^2T_{\bar{z}_1}^2T_{z_2}^2T_{\bar{z}_2}^2. \end{aligned}$$

By (1.4), the identity  $H_{\bar{z}_i}T_{z_j} = S_{z_j}H_{\bar{z}_i}$  ( $1 \leq i, j \leq 2$ ) holds. So

$$\begin{aligned} \bar{z}_1 \otimes \bar{z}_2 &= H_{\bar{z}_1}H_{\bar{z}_2}^* - 2S_{z_1}H_{\bar{z}_1}H_{\bar{z}_2}^*S_{\bar{z}_1} - 2S_{z_2}H_{\bar{z}_1}H_{\bar{z}_2}^*S_{\bar{z}_2} + \\ &\quad S_{z_1}^2H_{\bar{z}_1}H_{\bar{z}_2}^*S_{z_1}^2S_{z_2}^2H_{\bar{z}_1}H_{\bar{z}_2}^*S_{z_2}^2 + 4S_{z_1}H_{\bar{z}_1}T_{\bar{z}_1}T_{z_2}H_{\bar{z}_2}^*S_{\bar{z}_2} - 2S_{z_1}H_{\bar{z}_1}T_{\bar{z}_1}T_{z_2}^2H_{\bar{z}_2}^*S_{z_2}^2 - \\ &\quad 2S_{z_2}H_{\bar{z}_1}T_{\bar{z}_2}T_{z_1}^2H_{\bar{z}_2}^*S_{z_1}^2 + S_{z_1}^2H_{\bar{z}_1}T_{\bar{z}_1}^2T_{z_2}^2H_{\bar{z}_2}^*S_{z_2}^2. \end{aligned} \quad (2.2)$$

By (1.2) we have

$$H_{\bar{z}_1}H_{\bar{z}_2}^* = S_{\bar{z}_1z_2} - S_{\bar{z}_1}S_{z_2} \in \mathcal{S}. \quad (2.3)$$

So first five items in (2.2) are in  $\mathcal{S}$ . Since

$$T_{\bar{z}_1}T_{z_2} = T_{\bar{z}_1z_2} = T_{z_2\bar{z}_1} = T_{z_2}T_{\bar{z}_1} + H_{\bar{z}_2}^*H_{\bar{z}_1},$$

we know that

$$4S_{z_1}H_{\bar{z}_1}T_{\bar{z}_1}T_{z_2}H_{\bar{z}_2}^*S_{\bar{z}_2} = 4S_{z_1}H_{\bar{z}_1}T_{z_2}T_{\bar{z}_1}H_{\bar{z}_2}^*S_{\bar{z}_2} + 4S_{z_1}H_{\bar{z}_1}H_{\bar{z}_2}^*H_{\bar{z}_1}H_{\bar{z}_2}^*S_{\bar{z}_2}.$$

The above expression is in  $\mathcal{S}$  by (2.3), in other words, the sixth item in (2.2) belongs to  $\mathcal{S}$ . Similarly, the other items in (2.2) are in  $\mathcal{S}$ . So  $\bar{z}_1 \otimes \bar{z}_2 \in \mathcal{S}$ . Similarly, we can also get  $\bar{z}_1 \otimes \bar{z}_1$ ,  $\bar{z}_2 \otimes \bar{z}_1$  and  $\bar{z}_2 \otimes \bar{z}_2$  are in  $\mathcal{S}$  respectively.

**Proof of Theorem 2** By Lemma 4 and Theorem 5.39 in [1], it suffices to prove that  $\mathcal{S}$  is irreducible in  $\mathcal{B}(L_a^2(D^n)^\perp)$ , where  $\mathcal{B}(L_a^2(D^n)^\perp)$  denotes all the bounded linear operators on  $L_a^2(D^n)^\perp$ . Suppose  $\mathcal{N}$  is a closed linear subspace of  $L_a^2(D^n)^\perp$  which reduces  $\mathcal{S}$ , we have to show that  $\mathcal{N} = L_a^2(D^n)^\perp$ . Firstly, we will prove that  $\bar{z}_i \in \mathcal{N}$  ( $1 \leq i \leq n$ ).

Since  $\mathcal{N}$  is nonzero, it contains a nonzero function  $\varphi$ . Since the linear combinations of the functions in  $\{z^\alpha \bar{z}^\beta : \alpha, \beta \text{ are multi-indices}\}$  are dense in  $L^2(D^n)$ , and thus there exist  $\alpha, \beta$  such that  $\langle \varphi, z^\alpha \bar{z}^\beta \rangle \neq 0$ . Since  $\varphi \in L_a^2(D^n)^\perp$ , there exists  $\beta_i > 0$ , in other words,  $|\beta| > 0$ . Now we have

$$\langle \varphi, z^\alpha \bar{z}^\beta \rangle \bar{z}_i = \langle \bar{z}^\alpha z_i^{\beta_i-1} \prod_{j \neq i} z_j^{\beta_j}(\varphi), \bar{z}_i \rangle \bar{z}_i = \langle S_{\bar{z}^\alpha z_i^{\beta_i-1} \prod_{j \neq i} z_j^{\beta_j}}(\varphi), \bar{z}_i \rangle \bar{z}_i$$

$$= (\bar{z}_i \otimes \bar{z}_i) S_{\bar{z}^\alpha z_i^{\beta_i-1} \prod_{j \neq i} z_j^{\beta_j}}(\varphi).$$

Following Lemma 4,  $(\bar{z}_i \otimes \bar{z}_i) S_{\bar{z}^\alpha z_i^{\beta_i-1} \prod_{j \neq i} z_j^{\beta_j}} \in \mathcal{S}$ . Since  $\mathcal{N}$  reduces  $\mathcal{S}$ ,  $\langle \varphi, z^\alpha \bar{z}^\beta \rangle \bar{z}_i \in \mathcal{N}$ . Because  $\langle \varphi, z^\alpha \bar{z}^\beta \rangle \neq 0$ , we conclude that  $\bar{z}_i \in \mathcal{N}$ .

For  $j \neq i$ , since  $\bar{z}_j \otimes \bar{z}_i \in \mathcal{S}$  and  $(\bar{z}_j \otimes \bar{z}_i) \bar{z}_i = \bar{z}_j$ , we have  $\bar{z}_j \in \mathcal{N}$ .

Now suppose  $\psi \in L_a^2(D^n)^\perp$  and  $\psi \perp \mathcal{N}$ . For any multi-indices  $\alpha, \beta$ , if  $|\beta| = 0$ , it is obvious that  $\langle \psi, z^\alpha \bar{z}^\beta \rangle = 0$ . If not, then there exists  $\beta_i > 0$  such that

$$\langle \psi, z^\alpha \bar{z}^\beta \rangle \bar{z}_i = (\bar{z}_i \otimes \bar{z}_i) S_{\bar{z}^\alpha z_i^{\beta_i-1} \prod_{j \neq i} z_j^{\beta_j}}(\psi).$$

Since  $\mathcal{N}$  reduces  $\mathcal{S}$  and  $\bar{z}_i \in \mathcal{N}$ , we have  $\langle \psi, z^\alpha \bar{z}^\beta \rangle = 0$ . Thus  $\psi$  is zero almost everywhere on  $D^n$ , in other words,  $\mathcal{N} = L_a^2(D^n)^\perp$ . So we conclude that  $\mathcal{S}$  is irreducible in  $\mathcal{B}(L_a^2(D^n)^\perp)$ .

**Theorem 3**  $\mathcal{K} = \text{semi}\mathcal{I}(C(\overline{D^n}))$ .

**Proof** For  $f, g \in C(\overline{D^n})$ , then  $H_f$  and  $H_g$  are compact. By (1.2) we have

$$S_{fg} - S_f S_g = H_f H_g^*.$$

Thus  $\text{semi}\mathcal{I}(C(\overline{D^n})) \subseteq \mathcal{K}$ . Combining Theorem 2, we conclude that  $\mathcal{K} = \text{semi}\mathcal{I}(C(\overline{D^n}))$ .

Following Theorems 1 and 3, we have the short exact sequence

$$(0) \longrightarrow \mathcal{K} \longrightarrow \mathcal{I}(C(\overline{D^n})) \longrightarrow C(\overline{D^n}) \longrightarrow (0).$$

If  $f$  is a measurable function on  $D^n$ , then we denote by  $\mathcal{R}(f)$  the essential rang of  $f$ , and by  $\sigma(S_f)$  and  $\sigma_e(S_f)$  respectively the spectrum and essential spectrum of  $S_f$ . For a subset  $E$  of the complex plane, let  $h(E)$  denote the closed convex hull of  $E$ .

Since the proofs of the following theorems are similar to those in [4], we omit them here.

**Theorem 4** If  $f \in L^\infty(D^n)$ , then  $\mathcal{R}(f) \subset \sigma(S_f) \subset h(\mathcal{R}(f))$ .

**Theorem 5** If  $f \in L^\infty(D^n)$ , and  $H_f$  and  $H_{\bar{f}}$  are compact, then  $\sigma_e(S_f) = \mathcal{R}(f)$ .

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