

# Virtual and Immediate Basins of Newton's Method for a Class of Entire Functions

Wei Feng YANG

*Department of Mathematics and Physics, Hunan Institute of Engineering, Hunan 411104, P. R. China*

**Abstract** In this paper, we consider Newton's method for a class of entire functions with infinite order. By using theory of dynamics of functions meromorphic outside a small set, we find there are some series of virtual immediate basins in which the dynamics converges to infinity and a series of immediate basins with finite area in the Fatou sets of Newton's method.

**Keywords** Newton's method; Baker domain; virtual immediate Basin; Fatou set; Julia set.

**Document code** A

**MR(2000) Subject Classification** 30D05; 37F10; 32H04

**Chinese Library Classification** O174.5

## 1. Introduction

Newton's method is a classical way to approximate roots of differentiable functions by an iterative procedure. We can investigate the procedure in view of complex dynamical systems. (See [1] for general references on this subject.)

Newton's method for a complex polynomial  $P(z)$  is the iteration of a rational function  $N_P = z - \frac{P(z)}{P'(z)}$  on the Riemann sphere. Such dynamical systems have been extensively studied in recent years. Przytycki [2] has shown that all immediate basins (Definition 3.1) are simply connected and unbounded. Shishikura [3] has shown more generally that if a rational map has a multiply connected Fatou component, then it must have two weakly repelling fixed points. Tan [4] gave a complete classification of the Newton maps of cubic polynomials.

If  $f(z)$  is a transcendental entire function, then the associated Newton map  $N_f$  will generally be transcendental meromorphic, except in the special case  $f(z) = p(z)e^{q(z)}$  with polynomials  $p(z)$  and  $q(z)$  which was studied by Haruta [5]. Bergweiler [6] proved a no-wandering-domains theorem for transcendental Newton maps that satisfy several finiteness assumptions. Mayer and Schleicher [7] have shown that immediate basins for the Newton maps of entire functions are simply connected and unbounded, extending a result of Przytycki [2] in the polynomial case. They have also shown that the Newton maps of transcendental functions may exhibit a type of Fatou component that does not appear for the Newton maps of polynomials, so called virtual immediate basins (Definition 3.2) in which the dynamics converges to infinity. The thesis

---

Received July 10, 2008; Accepted January 19, 2010

Supported by the Scientific Research Fund of Hunan Provincial Education Department (Grant No.06C245).

E-mail address: wfoyang@yahoo.com.cn



[8] investigated the Newton map of the transcendental function  $f(z) = ze^{e^z}$  and proved that it exhibits virtual immediate basins. While immediate basins (Definition 3.1) of roots are by definition related to zeroes of  $f$ , a virtual immediate basin often contains an asymptotic path of an asymptotic value at 0 for  $f$  (see [9]).

In this paper, we investigate the Newton maps  $N_f(z)$  for a class of entire functions  $f(z) = (e^z - 1)e^{e^{-z}P(e^z)}$ , where  $P(z)$  is a real coefficient polynomial with  $\deg(P) \geq 2$  and  $P(0) \neq 0$ . In the Fatou set  $F(N_f)$  of  $N_f(z)$ , we find that there are some series of simply connected invariant Baker domains, which are virtual immediate basin; and that there are a series of super-attracting immediate basins. We also show each super-attracting immediate basin has finite area while each is unbounded. Moreover, in case of  $\deg(P) = 1$  and  $P(0) = 0$ , we find the immediate basin may have infinite area (see Remark 1).

Throughout this paper,  $\ln z$  denotes the principal branch of the logarithm function  $\log z = \ln|z| + \arg z + 2k\pi i$ ,  $k \in \mathbb{Z}$ .

## 2. Dynamics of functions meromorphic outside a small set

To investigate the dynamics of the meromorphic function  $N_f(z)$ , we need to analyse the dynamics of function in the following class  $M$ .

$M = \{f : \text{there is a compact totally disconnected set } E = E(f) \text{ such that } f \text{ is meromorphic in } E^c \text{ and } C(f, E^c, z_0) = \hat{\mathbb{C}} \text{ for all } z_0 \in E. \text{ If } E = \emptyset \text{ we make the further assumption that } f \text{ is neither constant nor univalent in } \hat{\mathbb{C}}\}$ , where the cluster set  $C(f, E^c, z_0) = \{w : w = \lim_{n \rightarrow \infty} f(z_n) \text{ for some } z_n \in E^c \text{ with } z_n \rightarrow z_0\}$ .

The class  $M$  was studied in [10]–[15]. In [13] and [14], where the basic concepts such as the Fatou set and the Julia set and the basic properties of dynamics of functions in  $M$  were established. It was proved in [13] that the class  $M$  is closed under composition and if  $f, g \in M$ , then  $E(f \circ g) = E(g) \cup g^{-1}(E(f))$ . For  $f \in M$ , we define  $f^0$  to be the identity function with  $E_0 = \emptyset$ ,  $f^n = f \circ f^{n-1}$ , then  $f^n \in M$ ,  $n \in \mathbb{N}$ , and  $E_n = E(f^n) = \bigcup_{j=0}^{n-1} f^{-j}(E) = \{\text{singularities of } f^{-n}\}$ . Let  $J_1(f) = \bigcup_{n=0}^{+\infty} E_n$  and  $F_1(f) = \hat{\mathbb{C}} \setminus J_1(f)$ . Then  $F_1(f)$  is the largest open set in which all  $f^n$  are defined and  $f(F_1(f)) \subset F_1(f)$ . As in [13], for  $f \in M$ , we define the Fatou set of  $f$ , denoted by  $F(f)$ , to be the largest open set in which (i) all composition  $f^n$  are meromorphic and (ii) the family  $\{f^n\}$  is a normal family; and the Julia set of  $f$ , denoted by  $J(f)$ , to be the complement of  $F(f)$ . If the set  $J_1(f)$  is either empty or contains one point or two points, then  $f$  is conjugate to a rational map or entire function or an analytic map of the punctured plane  $\mathbb{C}^*$ , respectively. In these cases the condition (i) is trivial and the Fatou sets are determined by (ii). In all other cases, by Montel's theorem,  $F(f) = F_1(f)$  and  $J(f) = J_1(f)$ . It is clear that for  $f \in M$ ,  $F(f)$  is open and completely invariant. Let  $U$  be a connected component of  $F(f)$ . Then  $f^n(U)$  is contained in a component  $U_n$  of  $F(f)$ . If for any pair of  $m \neq n$ ,  $U_m \neq U_n$ , then  $U$  is called a wandering domain of  $f$ . Otherwise,  $U$  is said to be preperiodic. If for some  $n \in \mathbb{N}$ ,  $U_n = U$ , namely,  $f^n(U) \subset U$ , then  $U$  is said to be periodic, and the smallest positive  $n \in \mathbb{N}$  is called the period of  $U$ . For a periodic component of  $F(f)$  we have the following classification



theorem:

**Theorem A** ([13]) *Let  $U$  be a periodic component of the Fatou set of period  $p$ . Then precisely one of the following is true:*

(i)  $U$  is a (super)attracting domain of a (super)attracting periodic point  $a$  of  $f$  of period  $p$  such that  $f^{np}|_U \rightarrow a$  as  $n \rightarrow +\infty$  and  $a \in U$ .

(ii)  $U$  is a parabolic domain of a rational neutral periodic point  $a$  of  $f$  of period  $p$  such that  $f^{np}|_U \rightarrow a$  as  $n \rightarrow +\infty$  and  $a \in \partial U$ .

(iii)  $U$  is a Siegel disk of period  $p$  such that there exists an analytic homeomorphism  $\varphi : U \rightarrow \Delta$ , where  $\Delta = \{z : |z| < 1\}$ , satisfying  $\varphi(f^p(\varphi^{-1}(z))) = e^{2\pi\alpha i}z$  for some irrational number  $\alpha$  and  $\varphi^{-1}(0) \in U$  is an irrational neutral periodic point of  $f$  of period  $p$ .

(iv)  $U$  is a Herman ring of period  $p$  such that there exists an analytic homeomorphism  $\varphi : U \rightarrow A$ , where  $A = \{z : 1 < |z| < r\}$ , satisfying  $\varphi(f^p(\varphi^{-1}(z))) = e^{2\pi\alpha i}z$  for some irrational number  $\alpha$ .

(v)  $U$  is a Baker domain of period  $p$  such that  $f^{np}|_U \rightarrow a \in J(f)$  as  $n \rightarrow +\infty$  but  $f^p$  is not meromorphic at  $a$ . If  $p = 1$ , then  $a \in E(f)$ .

As to the local structure of rationally indifferent periodic point, with similar discussion as that of §6.5 in [1], or §3.1.6 in [16], we have the following Theorem B and C.

**Theorem B** *Suppose that the map  $f \in M$  has the Taylor expansion*

$$f(z) = z - z^{p+1} + O(z^{2p+1})$$

*at the origin. Then for sufficiently small  $t$ ,  $f$  has  $p$  petals*

$$\Pi_k(t) = \{re^{i\theta} : r^p < t(1 + \cos(p\theta)); |\frac{2k\pi}{p} - \theta| < \frac{\pi}{p}\}, \quad k = 0, 1, \dots, p-1$$

*lying in distinct parabolic domains at the origin, such that:*

- (i)  $f$  maps each petal  $\Pi_k(t)$  into itself, and  $f : \Pi_k(t) \mapsto \Pi_k(t)$  is conjugate to  $T(z) = z + 1$ ;
- (ii)  $f^n(z) \mapsto 0$  uniformly on each petal as  $n \mapsto \infty$ ;
- (iii)  $\arg(f^n(z)) \mapsto \frac{2k\pi}{p}$  locally uniformly on  $\Pi_k$  as  $n \mapsto \infty$ ;
- (iv)  $|f(z)| < |z|$  on a neighborhood of the axis of each petal.

**Theorem C** *Suppose that the map  $f \in M$  has the Taylor expansion*

$$f(z) = z + az^{p+1} + O(z^{p+2})$$

*at the origin with  $a \neq 0$ . Then there is a function  $F(z) = z - z^{p+1} + O(z^{2p+1})$  and a polynomial  $\varphi(z) = e^{\frac{\ln a}{p}}z + \beta z^2 + \dots + \gamma z^{p!}$ , such that  $F \circ \varphi = \varphi \circ f$ .*

As for the relation between the dynamics of two commutable functions in  $M$ , we have:

**Theorem D** ([16, Theorem 3.1.14]) *Let  $f, g \in M$ ,  $\varphi$  be a meromorphic function and  $\varphi(f(z)) = g(\varphi(z))$ . If  $J(f) = J_1(f)$  and either  $\infty \in E(f)$  or  $f(\infty) \neq \infty$ , then  $J(f) = \varphi^{-1}(J(g))$  and  $F(f) = \varphi^{-1}(F(g))$ .*

**Theorem E** ([16, Theorem 3.1.17]) *Let  $f, g \in M$ , and  $\exp f(z) = g(e^z)$ . If  $\infty \in E(f)$  or*



$f(\infty) \neq \infty$ , then  $\exp J(f) = J(g) \setminus \{0\}$  and  $\exp F(f) = F(g) \setminus \{0\}$ .

### 3. Immediate basins and virtual Immediate basins

Let  $f : \mathbb{C} \mapsto \mathbb{C}$  be an entire function. Newton's method of finding the zero of  $f$  consists of iterating the meromorphic function  $N_f(z)$  defined by  $N_f(z) = z - \frac{f(z)}{f'(z)}$ . In fact, zeroes of  $f$  are attracting fixed points of  $N_f(z)$ , and vice versa. The simple zeroes of  $f$  are super-attracting fixed points of  $N_f(z)$ .

**Definition 3.1** Let  $\xi$  be an attracting fixed point of  $N_f(z)$ . The basin of attraction of  $\xi$  is the open set of all points  $z$  such that  $(N_f^m(z))$  converges to  $\xi$  as  $m \rightarrow \infty$ . The connected component containing  $\xi$  of the basin is called the immediate basin of  $\xi$ .

**Definition 3.2** An unbounded domain  $U \subset \mathbb{C}$  is called virtual immediate basin of  $N_f(z)$  if it is maximal (among domains in  $\mathbb{C}$ ) with respect to the following properties:

- (i)  $\lim_{n \rightarrow \infty} N_f^{on}(z) = \infty$  for all  $z \in U$ ;
- (ii) There is a connected and simply connected subdomain  $S_0 \subset U$  such that  $N_f(\overline{S_0}) \subset S_0$  and for all  $z \in U$  there is an  $m \in \mathbb{N}$  such that  $N_f^{om}(z) \in S_0$ . We call the domain  $S_0$  an absorbing set for  $U$ .

If  $f$  is a transcendental entire function, then the associated Newton map  $N_f$  will generally be transcendental meromorphic, except in the special case  $f(z) = p(z)e^{q(z)}$  with polynomials  $p(z)$  and  $q(z)$ . Mayer and Schleicher [7] have shown that the Newton maps of transcendental functions may exhibit virtual immediate basin that does not appear for the Newton maps of polynomials.

Now let entire function  $f(z) = (e^z - 1)e^{e^{-z}P(e^z)}$ , and  $N_f(z)$  be the corresponding Newton map, where  $P(z)$  is a real coefficient polynomial with  $\deg(P) = d \geq 2$  and  $P(0) \neq 0$ . Then  $N_f(z) = z + R(e^z)$ , where  $R(z) = -\frac{z(z-1)}{z^2 + (z-1)[zP'(z) - P(z)]}$ . Let  $g(z) = ze^{R(z)}$ . Then  $e^{N_f(z)} = g(e^z)$ . According to the nature of logarithmic function and  $e^{N_f(z)} = g(e^z)$ , Theorem E implies that the dynamics of  $N_f$  in horizontal strip regions  $\{z : (2m-1)\pi < \text{Im } z < (2m+1)\pi\}$  are the same for different  $m \in \mathbb{Z}$ . So, we just need to consider dynamics of  $N_f$  in the horizontal strip region

$$\Xi = \{z : -\pi < \text{Im } z < \pi\}.$$

Without loss of generality, let  $P(z) = a_d z^d + a_{d-1} z^{d-1} + \cdots + a_1 z + a_0$  with  $a_d a_0 \neq 0$ . Then

$$N_f(z) = \frac{w - w^2}{(d-1)a_d w^{d+1} + ((d-2)a_{d-1} - (d-1)a_d)w^d + \cdots - a_0 w + a_0} \circ e^z + z,$$

and

$$g(z) = z \exp \frac{z - z^2}{(d-1)a_d z^{d+1} + ((d-2)a_{d-1} - (d-1)a_d)z^d + \cdots - a_0 z + a_0}.$$

**Theorem 3.3** In Fatou set of  $g(z)$ , there are one super-attracting component  $V_1$  containing 1, one parabolic domain  $V_0$  such that  $g^n|_{V_0} \rightarrow 0$  as  $n \rightarrow +\infty$  and  $d-1$  invariant parabolic domains  $V_\infty^k$  ( $k = 0, 1, \dots, d-2$ ) such that  $g^n|_{V_\infty^k} \rightarrow 0$  as  $n \rightarrow +\infty$ .

**Proof** It is easy to see  $g(z) \in M$ . In view of  $\deg(P) = d \geq 2$ ,  $R(z) \rightarrow 0$  as  $z \rightarrow \infty$ ,  $g(z)$  only



has the pole at infinity.  $g(z)$  has two fixed points 0 and 1, moreover  $g'(0) = 1$  and  $g'(1) = 0$ . So by Theorem A, in the Fatou set  $F(g)$  of  $g(z)$ , there is an invariant immediate super-attracting basin  $V_1$  containing 1.

By assumption  $a_0 = P(0) \neq 0$ ,  $g(z)$  has the Taylor expansion  $g(z) = z + \frac{1}{a_0}z^2 + O(z^3)$  at the origin. Theorem B and A imply that there is an invariant parabolic domain  $V_0$  such that  $g^n|_{V_0} \rightarrow 0$  as  $n \rightarrow +\infty$ .

Let  $\sigma(z) = \frac{1}{z}$ , and  $h(z) = z \exp \frac{(1-z)z^{d-1}}{(d-1)a_d + ((d-2)a_{d-1} - (d-1)a_d)z + \dots - a_0z^d + a_0z^{d+1}}$ . Then  $\sigma \circ g(z) = h \circ \sigma(z)$ .  $h(z)$  has the Taylor expansion  $h(z) = z + \frac{1}{(d-1)a_d}z^d + O(z^{d+1})$  at the origin. By Theorem B and A, there are  $d-1$  invariant parabolic domains  $B^k$  ( $k = 0, 1, \dots, d-2$ ) such that  $h^n|_{B^k} \rightarrow 0$  as  $n \rightarrow +\infty$ . So there are  $d-1$  invariant parabolic domains  $V_\infty^k = \sigma(B^k)$  ( $k = 0, 1, \dots, d-2$ ) such that  $g^n|_{V_\infty^k} \rightarrow 0$  as  $n \rightarrow +\infty$ . The proof of Theorem 3.3 is completed.  $\square$

**Theorem 3.4** *In the Fatou set of  $N_f(z)$ , there are one simply connected invariant super-attracting basin and  $d$  invariant Baker domains in  $\Xi$ .*

**Proof** Since  $e^{N_f(z)} = g(e^z)$  and  $N_f(z)$ ,  $g(z) \in M$ , based on Theorem 3.3 and E, there is an invariant immediate super-attracting basin  $U_1 = \ln(V_1)$  in the Fatou set  $F(N_f)$  of  $N_f(z)$ , and the corresponding super-attracting fixed point is 0. According to Theorem 2.7 in [7],  $U_1$  is simply connected.

On the other hand, by Theorem 3.3 and B,  $g(z)$  has a parabolic domain  $V_0$  such that  $g^n|_{V_0} \rightarrow 0$  as  $n \rightarrow +\infty$ , and for positive number  $t$  small enough,  $V_0$  contains an absorbing petal with an absorbing axis  $l = \{re^{\theta i} : \theta = \pi + \arg(a_0), 0 < r < t\}$ . Consequently, Theorem E implies  $N_f(z)$  has a component  $U_0 = \ln(V_0)$  such that  $N_f^n|_{U_0} \rightarrow \infty$  as  $n \rightarrow +\infty$ . Considering that  $P(z)$  is a real coefficient polynomial,  $R(z) = -\frac{z(z-1)}{z^2+(z-1)[zP'(z)-P(z)]}$  is a real coefficient rational function, then the Newton map  $N_f(z) = z + R(e^z)$  maps  $L_k = \{x + iy : -\infty < x < \ln t, y = \pi + \arg(a_0) + 2k\pi\}$  ( $k \in \mathbb{Z}$ ) to itself, where  $L_k$  is the image of  $l$  of a branch of the logarithmic function  $\log z$ , and  $L_0 = \ln l$  lies in  $U_0$ . So  $U_0$  is not a wandering domain but a Baker domain.

Proceeding with similar discussion, we can show  $N_f(z)$  has other  $d-1$  Baker domains  $U_\infty^k = \ln(V_\infty^k)$  ( $k = 0, 1, \dots, d-2$ ). The proof of Theorem 3.4 is completed.  $\square$

**Theorem 3.5** *In the Fatou set of  $N_f(z)$ , each Baker domain is virtual immediate basin.*

**Proof** From the proof of Theorem 3.4, each Baker domain in the Fatou set  $F(N_f(z))$  comes from parabolic domain of  $g(z)$ .

On the other hand, from the proof of Theorem 3.3, in a neighborhood of the origin,  $g(z) = z + \frac{1}{a_0}z^2 + O(z^3)$ ,  $h(z) = z + \frac{1}{(d-1)a_d}z^d + O(z^{d+1})$ . Theorem C implies  $g(z)$  is conjugate to a function  $F_1(z) = z - z^2 + O(z^3)$  via  $\varphi(z) = \frac{-1}{a_0}z$  and  $h(z)$  is conjugate to a function  $F_2(z) = z - z^d + O(z^{2d-1})$  via a polynomial  $\psi(z) = \lambda z + \beta z^2 + \dots + \gamma z^{(d-1)!}$  near the origin, where  $\lambda = ((d-1)|a_d|)^{-\frac{1}{d-1}} e^{-\frac{\arg(a_d)}{d-1}i}$ .

Using Theorem B, at the origin, for sufficiently small positive numbers  $t_1, t_2, s_1$  and  $s_2$ ,  $F_1(z)$  has a petal

$$\Pi(t_1) = \{re^{i\theta} : r < t_1(1 + \cos \theta); |\theta| < \pi\}$$



with repelling axis  $L = \{re^{i\theta} : 0 < r < s_1, \theta = \pi\}$ , and  $F_2(z)$  has  $d - 1$  petals

$$\Pi_k(t_2) = \{re^{i\theta} : r^{d-1} < t_2(1 + \cos(d-1)\theta); |\frac{2k\pi}{d-1} - \theta| < \frac{\pi}{d-1}\}$$

with repelling axis  $L^k = \{re^{i\theta} : 0 < r < s_2, \theta = \frac{2k+1}{d-1}\pi\}$  ( $k = 0, 1, \dots, d-2$ ). Consequently, the Baker domain  $U_0 = \ln(V_0)$  has an absorbing set  $\ln \circ \varphi^{-1}(\Pi(t))$ , and the Baker domain  $U_\infty^k = \ln(V_\infty^k)$  has an absorbing set  $\ln \circ \sigma \circ \psi^{-1}(\Pi_k(t))$  ( $k = 0, 1, \dots, d-2$ ). So each Baker domain is a virtual immediate basin. The proof of Theorem 3.5 is completed.  $\square$

**Theorem 3.6** *In  $\Xi$ , complement of the union of all virtual immediate basins of  $N_f(z)$  has finite area.*

**Proof** Theorem 3.5 implies each Baker domain of  $N_f(z)$  has an absorbing set, therefore, the complement of the union of all virtual immediate basins of  $N_f(z)$  is a subset of the complement of union of these absorbing sets. To complete this proof, we need only to show: in  $\Xi$ , the complement of union of these absorbing sets has finite area.

Following the Proof of Theorem 3.5.

Let  $0 < t < \min\{t_1, t_2\}$ ,  $\frac{3}{4}\pi < \theta_1 < \pi$ ,  $\frac{3}{4(d-1)}\pi < \theta_2 < \frac{1}{d-1}\pi$ ,

$$\gamma_1 = \{re^{i\theta} : r = t(1 + \cos \theta); \theta_1 < \theta < \pi\},$$

$$\gamma_2 = \{re^{i\theta} : r = t(1 + \cos \theta); -\theta_1 > \theta > -\pi\},$$

$$\gamma_1^k = \{re^{i\theta} : r^{d-1} = t(1 + \cos(d-1)\theta), \frac{2k\pi}{d-1} + \theta_2 < \theta < \frac{2k\pi}{d-1} + \frac{\pi}{d-1}\},$$

$$\gamma_2^k = \{re^{i\theta} : r^{d-1} = t(1 + \cos(d-1)\theta), \frac{2k\pi}{d-1} - \theta_2 > \theta > \frac{2k\pi}{d-1} - \frac{\pi}{d-1}\}$$

( $k = 0, 1, \dots, d-2$ ). Then  $\gamma_1$  and  $\gamma_2$  are two simple curves in  $\Pi(t_1)$  and  $\gamma_1^k$  and  $\gamma_2^k$  are two simple curves in  $\Pi_k(t_2)$ . Accordingly,  $\Gamma_1 = \varphi^{-1}(\gamma_1)$  and  $\Gamma_2 = \varphi^{-1}(\gamma_2)$  are two simple curves in the parabolic domain in the Fatou set  $F(g(z))$ . Choose  $\psi^{-1}$  the branch of the inverse function of  $\psi$  which fixes 0, namely,  $\psi^{-1}(z) = \frac{1}{\lambda}z + \alpha_1 z^2 + \alpha_2 z^3 + \dots$ . Then  $\Gamma_1^k = \psi^{-1}(\gamma_1^k)$  and  $\Gamma_2^k = \psi^{-1}(\gamma_2^k)$  are two simple curves in the parabolic domain in the Fatou set  $F(h(z))$ .

Since  $e^{N_f(z)} = g(e^z)$  and  $\sigma \circ g(z) = h \circ \sigma(z)$ ,  $\tilde{\Gamma}_1 = \ln \circ \varphi^{-1}(\Gamma_1)$ ,  $\tilde{\Gamma}_2 = \ln \circ \varphi^{-1}(\Gamma_2)$ ,  $\tilde{\Gamma}_1^k = \ln \circ \sigma \circ \psi^{-1}(\Gamma_1^k)$  and  $\tilde{\Gamma}_2^k = \ln \circ \sigma \circ \psi^{-1}(\Gamma_2^k)$  are simple curves in above-mentioned Baker domains in the Fatou set  $F(N_f(z))$ .

For  $\varphi^{-1}(z) = |a_0|e^{i \arg(-a_0)}z$ , we have

$$\tilde{\Gamma}_1 = \left\{ X(\theta) + iY(\theta) : \begin{array}{l} X(\theta) = \ln(|a_0|t(1 + \cos \theta)), Y(\theta) = \theta + \arg(-a_0), \\ \theta_1 < \theta < \pi \end{array} \right\},$$

$$\tilde{\Gamma}_2 = \left\{ X(\theta) + iY(\theta) : \begin{array}{l} X(\theta) = \ln(|a_0|t(1 + \cos \theta)), Y(\theta) = \theta + \arg(-a_0), \\ -\theta_1 > \theta > -\pi \end{array} \right\}.$$

Furthermore, the curve  $\tilde{\Gamma}_1$  is monotonously decreasing, and has an asymptote  $Y = \pi + \arg(-a_0)$  as  $\theta \rightarrow \pi$ ,  $\tilde{\Gamma}_2$  is monotonously increasing, and has an asymptote  $Y = -\pi + \arg(-a_0)$  as  $\theta \rightarrow -\pi$ .



Write  $\psi^{-1}(z) = r_v e^{i\theta_v} z$ , where  $r_v = |\frac{1}{\lambda} + \alpha_1 z + \alpha_2 z^2 + \dots|$  and  $\theta_v = \arg(\frac{1}{\lambda} + \alpha_1 z + \alpha_2 z^2 + \dots)$  are continuous functions. Then

$$\tilde{\Gamma}_1^k = \left\{ X(\theta) + iY(\theta) : \begin{array}{l} X(\theta) = -\ln(r_v(t + t \cos(d-1)\theta)^{\frac{1}{d-1}}), Y(\theta) = -\theta - \theta_v, \\ \frac{2k}{d-1}\pi + \theta_2 < \theta < \frac{2k+1}{d-1}\pi \end{array} \right\},$$

$$\tilde{\Gamma}_2^k = \left\{ X(\theta) + iY(\theta) : \begin{array}{l} X(\theta) = -\ln(r_v(t + t \cos(d-1)\theta)^{\frac{1}{d-1}}), Y(\theta) = -\theta - \theta_v, \\ \frac{2k}{d-1}\pi - \theta_2 > \theta > \frac{2k-1}{d-1}\pi \end{array} \right\}.$$

In view of  $r_v(z) \rightarrow |\frac{1}{\lambda}|$  and  $\theta_v(z) \rightarrow \arg \frac{1}{\lambda}$  as  $z \rightarrow 0$ , the curve  $\tilde{\Gamma}_1^k$  is monotonously decreasing, and has an asymptote  $Y = -\frac{2k+1}{d-1}\pi - \arg \frac{1}{\lambda}$  as  $\theta \rightarrow \frac{2k+1}{d-1}\pi$ , while the curve  $\tilde{\Gamma}_2^k$  is monotonously increasing, and has an asymptote  $Y = -\frac{2k-1}{d-1}\pi - \arg \frac{1}{\lambda}$  as  $\theta \rightarrow \frac{2k-1}{d-1}\pi$ .

In the same way, repelling axis  $L = \{re^{i\theta} : 0 < r < s_1, \theta = \pi\}$  and  $L^k = \{re^{i\theta} : 0 < r < s_2, \theta = \frac{2k+1}{d-1}\pi\}$  respectively produce repelling axis of  $N_f(z)$  as follows:

$$\ln \circ \varphi^{-1}(L) = \left\{ X(r) + iY(r) : \begin{array}{l} X(r) = \ln(|a_0| r), \\ Y(r) = \pi + \arg(-a_0), \end{array} 0 < r < s_1 \right\},$$

$$\ln \circ \sigma \circ \psi^{-1}(L^k) = \left\{ X(r) + iY(r) : \begin{array}{l} X(r) = -\ln(r_v r), \\ Y(r) = -\frac{2k+1}{d-1}\pi - \arg \frac{1}{\lambda}, \end{array} 0 < r < s_2 \right\}.$$

It is easy to see that the asymptote of  $\tilde{\Gamma}_1$  or  $\tilde{\Gamma}_2$  is the horizontal line in which  $\ln \circ \varphi^{-1}(L)$  lies and the asymptote of  $\tilde{\Gamma}_1^k$  or  $\tilde{\Gamma}_2^k$  is the horizontal line in which  $\ln \circ \sigma \circ \psi^{-1}(L^k)$  lies.

Next we show that the area of each unbounded wedge shaped region between above curve and the corresponding asymptote is finite.

The area of unbounded wedge shaped region  $W_1$  between  $\tilde{\Gamma}_1$  and the corresponding asymptote  $Y = \pi + \arg(-a_0)$  is the following integration:

$$\begin{aligned} \int_{\pi}^{\theta_1} (\pi + \arg(-a_0) - Y(\theta)) dX(\theta) &= \int_{\pi}^{\theta_1} (\pi - \theta) d(\ln(|a_0| t(1 + \cos \theta))) \\ &= \int_{\pi}^{\theta_1} \frac{-(\pi - \theta) \sin \theta}{1 + \cos \theta} d\theta = \int_0^{\pi - \theta_1} \frac{\theta \sin \theta}{1 - \cos \theta} d\theta = 4 \int_0^{\frac{\pi - \theta_1}{2}} \frac{\theta}{\tan \theta} d\theta < 2(\pi - \theta_1), \end{aligned}$$

where  $X(\theta) = \ln(|a_0| t(1 + \cos \theta))$ ,  $Y(\theta) = \theta + \arg(-a_0)$ . So the area of  $W_1$  is finite.

To analyse the area of unbounded wedge shaped region  $W_1^k$  between  $\tilde{\Gamma}_1^k$  and the corresponding asymptote  $Y = -\frac{2k+1}{d-1}\pi - \arg \frac{1}{\lambda}$ , we construct another unbounded wedge shaped region  $\bar{W}_1^k$ . For positive numbers  $\delta_1$  and  $\delta_2$ , we define  $\bar{W}_1^k$  to be the region between curve

$$\bar{\Gamma}_1^k = \ln \circ \sigma \circ \bar{\psi}(\gamma_1^k) = \left\{ X(\theta) + iY(\theta) : \begin{array}{l} X(\theta) = -\ln(\frac{\delta_1}{|\lambda|} (t(1 + \cos(d-1)\theta))^{\frac{1}{d-1}}), \\ Y(\theta) = -\theta - (\arg \frac{1}{\lambda} - \delta_2(\frac{2k+1}{d-1}\pi - \theta)), \\ \frac{2k}{d-1}\pi + \theta_2 < \theta < \frac{2k+1}{d-1}\pi \end{array} \right\}$$

and its asymptote  $Y = -\frac{2k+1}{d-1}\pi - \arg \frac{1}{\lambda}$ , where  $\bar{\psi}(z) = z \frac{\delta_1}{|\lambda|} e^{i(\arg \frac{1}{\lambda} - \delta_2(\frac{2k+1}{d-1}\pi - \theta))}$ . For some appropriate small positive numbers  $\delta_1$  and  $\delta_2$ , and  $z \in \gamma_1^k$ , the Euclidian distance from the point



$\ln \circ \sigma \circ \bar{\psi}(z)$  to the line  $Y = -\frac{2k+1}{d-1}\pi - \arg \frac{1}{\lambda}$  is greater than that from the point  $\ln(\sigma \circ \psi^{-1}(z))$  to the same line, namely,  $\bar{\Gamma}_1^k$  lies above  $\tilde{\Gamma}_1^k$ . Moreover, the difference between areas of  $\bar{W}_1^k$  and  $W_1^k$  is finite. The area of  $\bar{W}_1^k$  is the following integration:

$$\begin{aligned} \int_{\frac{2k}{d-1}\pi + \theta_2}^{\frac{2k+1}{d-1}\pi} (Y(\theta) + \frac{2k+1}{d-1}\pi + \arg \frac{1}{\lambda}) dX(\theta) &= \int_{\frac{2k}{d-1}\pi + \theta_2}^{\frac{2k+1}{d-1}\pi} \frac{(\delta_2 + 1)(\frac{2k+1}{d-1}\pi - \theta) \sin(d-1)\theta}{1 + \cos(d-1)\theta} d\theta \\ &= \int_0^{\frac{\pi}{d-1} - \theta_2} \frac{(\delta_2 + 1)\theta \sin(d-1)\theta}{1 - \cos(d-1)\theta} d\theta < \frac{2(\delta_2 + 1)(\pi - (d-1)\theta_2)}{(d-1)^2}, \end{aligned}$$

where  $X(\theta) = -\ln(\frac{\delta_1}{|\lambda|}(t(1 + \cos(d-1)\theta))^{\frac{1}{d-1}})$ ,  $Y(\theta) = -\theta - (\arg \frac{1}{\lambda} - \delta_2(\frac{2k+1}{d-1}\pi - \theta))$ . So  $\bar{W}_1^k$  and then  $W_1^k$  has finite area.

The symmetry implies that the area of the wedge sharpened region  $W_2$  between  $\tilde{\Gamma}_2$  and the corresponding asymptote  $Y = \pi + \arg(-a_0)$  takes the same value as the area of  $W_1$ , and the area of wedge sharpened region  $W_2^k$  between  $\tilde{\Gamma}_2^k$  and the corresponding asymptote  $Y = -\frac{2k+1}{d-1}\pi - \arg \frac{1}{\lambda}$  takes the same value as the area of  $W_1^k$ .

Denote union of these wedge sharpened regions by  $W$  and  $\ln \circ \varphi^{-1}(\Pi(t)) \cup (\bigcup_{k=0}^{d-2} (\ln \circ \sigma \circ \psi^{-1}(\Pi_k(t))))$  by  $\Pi$ . In view of that  $\ln \circ \varphi^{-1}(\Pi(t))$  and  $\ln \circ \sigma \circ \psi^{-1}(\Pi_k(t))$  are also absorbing sets of those Baker domains respectively, and that those asymptotes alternately exist with alternation as  $2\pi$  and  $\frac{2\pi}{d-1}$  respectively,  $\Xi \setminus (W \cup \Pi)$  is bounded domain, and  $\Xi \setminus \Pi = W \cup (\Xi \setminus W \cup \Pi)$  has finite area. So the complement of the union of all virtual immediate basins of  $N_f(z)$ , a subset of  $\Xi \setminus \Pi$ , has finite area. The proof is completed.  $\square$

**Corollary 3.7** *Each immediate basin of  $N_f(z)$  has finite area.*

**Remark 3.8** In the case  $\deg(P) = 1$ , i.e.,  $P(z) = a_1z + a_0$  with  $(a_1 \neq 0)$ ,  $f(z) = (e^z - 1)e^{a_1 + a_0e^{-z}}$ ,  $N_f(z) = z - \frac{e^{2z} - e^z}{e^{2z} - a_0e^z + a_0}$  and  $g(z) = ze^{\frac{z-z^2}{2-a_0z+a_0}}$ . Then 1 is super attracting fixed point of  $g(z)$ , and zero is either rational indifferent fixed point or essential singularity of  $g(z)$ . For example,  $P(z) = a_1z$ , then  $g(z) = ze^{\frac{1-z}{z}}$ , zero is essential singularity of  $g(z)$ , and the attracting basin of 1 contains region  $\Theta = \{re^{i\theta} : 1 < r, |\theta| < \frac{\pi}{3}\}$ .

In fact, if  $z \in \Theta$ ,  $g(z) = z(1 + \sum_{m=1}^{+\infty} \frac{1}{m!}(\frac{1-z}{z})^m)$ , and  $|\frac{1-z}{z}| = (\frac{1-2r\cos\theta+r^2}{r^2})^{\frac{1}{2}} < 1$ . Therefore,

$$\begin{aligned} \left| \frac{g(z) - 1}{z - 1} \right| &= \left| \frac{z - 1 + z \sum_{m=1}^{+\infty} \frac{1}{m!}(\frac{1-z}{z})^m}{z - 1} \right| = \left| \sum_{m=2}^{+\infty} \frac{1}{m!}(\frac{1-z}{z})^{m-1} \right| \\ &\leq \sum_{m=2}^{+\infty} \frac{1}{m!} \left| \frac{1-z}{z} \right|^{m-1} < \sum_{m=2}^{+\infty} \frac{1}{m!} < 1. \end{aligned}$$

This implies that the attracting basin of 1 contains region  $\Theta$ , hence, in  $\Xi$ , the immediate basin  $U_1$  of  $N_f(z)$  has infinite area.

**Remark 3.9** Let  $M_f(z) = N_f(z) + 2\pi i$ . Then  $U_0$ ,  $U_1$  and  $U_\infty^k$  ( $k = 0, 1, \dots, n-2$ ) are wandering domains of  $M_f(z)$ .



## References

- [1] BEARDON A F. *Iteration of Rational Functions* [M]. Springer-Verlag, New York, 1991.
- [2] PRZYTYCKI F. *Remarks on the Simple Connectedness of Basins of Sinks for Iterations of Rational Maps* [J]. Banach Center Publ., 23, PWN, Warsaw, 1989.
- [3] SHISHIKURA M. *The connectivity of the Julia set and fixed points* [J]. Wellesley, MA, 2009.
- [4] TAN Lei. *Branched coverings and cubic Newton maps* [J]. Fund. Math., 1997, **154**(3): 207–260.
- [5] HARUTA M E. *Newton's method on the complex exponential function* [J]. Trans. Amer. Math. Soc., 1999, **351**(6): 2499–2513.
- [6] BERGWEILER W. *Newton's method and a class of meromorphic functions without wandering domains* [J]. Ergodic Theory Dynam. Systems, 1993, **13**(2): 231–247.
- [7] MAYER S, SCHLEICHER D. *Immediate and virtual basins of Newton's method for entire functions* [J]. Ann. Inst. Fourier (Grenoble), 2006, **56**(2): 325–336.
- [8] MAYER S. *Newton's method for transcendental functions* [D]. Diplomarbeit, TU Munchen, 2002.
- [9] BUFF X, RÜCKERT J. *Virtual immediate basins of Newton maps and asymptotic values* [J]. Int. Math. Res. Not. 2006, Art. ID 65498, 18 pp.
- [10] BOLSCH A. *Repulsive periodic points of meromorphic functions* [J]. Complex Variables Theory Appl., 1996, **31**(1): 75–79.
- [11] BOLSCH A. *Iteration of meromorphic functions with countably many singularities* [D]. Dissertation, Technische Universität, Berlin, 1997.
- [12] HERRING M E. *An extension of the Julia-Fatou theory of iteration* [D]. PhD Thesis, Imperial College, 1994.
- [13] BAKER I N, DOMINGUEZ P, HERRING M E. *Dynamics of functions meromorphic outside a small set* [J]. Ergodic Theory Dynam. Systems, 2001, **21**(3): 647–672.
- [14] BAKER I N, DOMINGUEZ P, HERRING M E. *Functions meromorphic outside a small set: completely invariant domains* [J]. Complex Var. Theory Appl., 2004, **49**(2): 95–100.
- [15] ZHENG Jianhua. *Iteration of functions meromorphic outside a small set* [J]. Tohoku Math. J., 2005, **57** (1): 23–43.
- [16] ZHENG Jianhua. *Dynamics of Meromorphic Functions* [M]. Beijing: Tsinghua University, 2006.