# An Application of Set Theory in Quasi-Paracompactness

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**Abstract** In 1977, Yingming Liu introduced quasi-paracompactness and proved that under  $2^{\omega_1} > 2^{\omega}$  every separable normal quasi-paracompact space is a paracompact space, which is a result of set-theoretic topology. In this paper we further prove that hypothesis " $2^{\omega_1} > 2^{\omega}$ " is equivalent to that every separable normal quasi-paracompact space is a paracompact space, which gives an independent result of quasi-paracompactness.

**Keywords** quasi-paracompact space; weak continuum hypothesis; irreducible space;  $\omega_1$ -compact space; Stone-Čech compactification

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#### 1. Questions

Metrizability and compactness are the heart and soul of general topology. Besides metrizability and compactness, there are a few other concepts which are fundamental in general topology, for examples, generalized metric spaces and covering properties [1, 2]. A topological property is called a covering property if it can be characterized by every open cover of a space having a certain refinement, for examples, compactness, Lindelöfness, paracompactness, subparacompactness, etc.

In 1977, Liu [3] introduced and studied special covering properties containing weak paracompactness and subparacompactness: quasi-paracompact spaces and strongly quasi-paracompact spaces. In the book "Selected Topics in General Topology", Jiang pointed out [4, p. 197]: "In recent years, it has been found that paracompactness, subparacompactness, metacompactness and submetacompactness can be decomposed into factors of strong quasi-paracompactness. It shows that paracompact, subparacompact, metacompact and submetacompact spaces can be characterized by strongly quasi-paracompact spaces, which further displays the theoretical value of this kind of new spaces."

Jiang and Zhang obtained a relationship between paracompactness and quasi-paracompactness under set-theoretic hypothesis, i.e., under hypothesis "V = L" in set theory, every normal locally compact quasi-paracompact space is a paracompact space [5, Theorem 2.1]. Hence, they partially answered the famous Arhangel'skiĭ-Tall problem [6, p. 44]: Is every normal locally compact

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space a paracompact space? Now, it is known that the problem is undecidable in ZFC axiom system [7, p. 2]. This demonstrates the special role of quasi-paracompactness in covering properties. In this paper we will continue to discuss the role of quasi-paracompactness in set-theoretic topology and promulgates the importance of this property.

Set-theoretic topology is an interdisciplinary research of topology and mathematical logic, which emerged in the 1960–1970s (see [8,9]). With the achievements of mathematical logic, some problems in topology have been solved, in which set-theoretic hypothesis played an important role. Recently, Hrušák and Ramos-García proved that every separable Fréchet topological group being metrizable is compatible with ZFC axiom system [10], which solved the Malykhin problem in 1978 (see [10, p. 194]). One of the main sources of general topology, which used to be called point-set topology, is the set theory founded by Cantor. Later, with the help of mathematical logic, set-theoretic topology was generated. This is a good case of separation, intersection and integration of some branches of mathematics.

In 1937, Jones proved that if  $2^{\omega} < 2^{\omega_1}$ , then every separable normal Moore space is  $\omega_1$ compact, and therefore metrizable [11], and also posed the famous Normal Moore Space Problem [11, p. 676]: Is every normal Moore space metrizable? Related to the well-known conjecture of normal Moore spaces, McAuley proposed the following problem [12]: Is every separable normal semimetric space paracompact? It is known that McAuley's problem was undecidable in ZFC axiom system [3]. Furthermore, by using the weak continuum hypothesis and quasiparacompactness, Liu found a way to solve the above problem, i.e., he proved the following result [3]: Under  $2^{\omega_1} > 2^{\omega}$ , every separable normal quasi-paracompact space is paracompact. " $2^{\omega_1} > 2^{\omega_1}$ " is a set-theoretic hypothesis which is independent of ZFC axiom system [13]. Liu's theorem was the first result of applying set-theoretic hypothesis to solving problems of general topology in China, which expanded the research of set-theoretic topology.

This paper discusses the set-theoretic hypothesis in Liu's theorem. There is the following question.

Question 1.1 Is hypothesis  $2^{\omega_1} > 2^{\omega}$  equivalent to that every separable normal quasi-paracompact space is a paracompact space?

The following result is related to set-theoretic hypothesis for separable normal spaces.

**Lemma 1.2** ([13, Lemma 2.1 and Example E]) Hypothesis  $2^{\omega_1} > 2^{\omega}$  is equivalent to that every separable normal space is a  $\omega_1$ -compact space.

In this paper, we prove that the answer to Question 1.1 is affirmative, hence the following question is undecidable in ZFC: Is every separable normal quasi-paracompact space a paracompact space in ZFC axiom system?

#### 2. The main results

First, recall some related concepts and results. In this paper, all spaces are  $T_1$ -topological spaces. The readers may refer to [14] for notation and terminology not explicitly given here.

The sets of the first infinite ordinal and the first uncountable ordinal are denoted by  $\omega$  and

 $\omega_1$ , respectively. Continuum hypothesis (CH, i.e.,  $2^{\omega} = \omega_1$ ) implies hypothesis  $2^{\omega_1} > 2^{\omega}$ , hence hypothesis  $2^{\omega_1} > 2^{\omega}$  is also called weak continuum hypothesis [15, Definition 14.5].

Let  $[\omega]^{\omega} = \{A \subseteq \omega : |A| = \omega\}$ , where |A| is the cardinality of the set A. A subset E of  $[\omega]^{\omega}$  is called a family of independent sets [15, Definition 11.2] if, whenever  $A_1, \ldots, A_m, B_1, \ldots, B_n$  in E are different from each other, there holds

$$\Big|\bigcap_{i=1}^{m} A_i \cap \bigcap_{j=1}^{n} (\omega \setminus B_j)\Big| = \omega$$

**Lemma 2.1** ([15, Theorem 11.3]) There is a family of independent sets with cardinality  $2^{\omega}$ .

A topological space X is called an  $\omega_1$ -compact space, if every uncountable subset of X has an accumulation point. Both Lindelöf spaces and countably compact spaces are  $\omega_1$ -compact spaces. In order to make our main results more general, the following concepts are introduced. A topological space X is called an iso-Lindelöf space, if every  $\omega_1$ -compact and closed subspace of X is Lindelöf. This space can be compared with the iso-compact space as follows: A topological space X is called an iso-compact space [16], if every countably compact and closed subspace of X is compact. Every iso-Lindelöf space is iso-compact <sup>1</sup>.

Worrell and Wicke proved that a space is developable if and only if it is a submetacompact space having a base of countable order [17]. The search for weak covering properties was going strong from this point on. The following theorem is the main result of the present paper, which involves quasi-paracompact spaces and irreducible spaces. A topological space X is called a quasi-paracompact space [3], if every open cover of X has a  $\sigma$ -relatively discrete refinement. A topological space X is called an irreducible space [18, Definition 6.6.2], if every open cover of X has the minimal open refinement.

**Theorem 2.2** The following statements are equivalent.

(1)  $2^{\omega} < 2^{\omega_1}$ .

- (2) Every separable normal iso-Lindelöf space is paracompact.
- (3) Every separable normal quasi-paracompact space is paracompact.
- (4) Every separable normal irreducible space is paracompact.

**Proof** (1) $\Rightarrow$ (2). Let X be a separable normal iso-Lindelöf space. By Lemma 1.2 and the definition of iso-Lindelöf spaces, X is a Lindelöf space. And since X is a regular space, X is a paracompact space [14, Theorem 5.1.2].

Since every quasi-paracompact space is iso-Lindelöf [3, Theorem 6] and irreducible [19, Corollary 1],  $(2) \Rightarrow (3)$  and  $(4) \Rightarrow (3)$  hold.

 $(3) \Rightarrow (1)$ . Suppose that  $2^{\omega} = 2^{\omega_1}$ . Using a subspace of Stone-Čech compactification  $\beta \omega$  of  $\omega$ , we should construct a separable normal quasi-paracompact space which is not a paracompact space. It is well known that Stone-Čech compactification  $\beta \omega$  of  $\omega$  is the family of all ultrafilters (i.e., maximal filters) endowed with the topology generated by all  $\{A^* : A \subseteq \omega\}$  as a subbase, where  $A^* = \{p \in \beta \omega : A \in p\}$  (see [20, p. 111]).

<sup>&</sup>lt;sup>1</sup> Let X be an iso-Lindelöf space. If A is a countably compact and closed subspace of X, then A is  $\omega_1$ -compact and closed, thus A is Lindelöf, so then A is compact. Hence, X is iso-compact.

By Lemma 2.1, let  $\{A_{\alpha} : \alpha < 2^{\omega}\}$  be a family of independent sets of  $\omega$ . The power set  $\mathcal{P}(\omega_1)$  is denoted by the family  $\{H_{\alpha} : \alpha < 2^{\omega}\}$ . For every  $\xi < \omega_1$ , put

$$U_{\xi} = \{A_{\alpha} : \xi \in H_{\alpha} \text{ and } \alpha < 2^{\omega}\} \cup \{\omega \setminus A_{\alpha} : \xi \notin H_{\alpha} \text{ and } \alpha < 2^{\omega}\}.$$

By the definition of independent sets,  $U_{\xi}$  is of the finite intersection property. And by Zorn's Lemma, there exists an ultrafilter  $p_{\xi}$  of  $\omega$  with  $U_{\xi} \subseteq p_{\xi}$ . Since  $\{A_{\alpha} : \alpha < 2^{\omega}\}$  is a family of independent sets, we have that  $p_{\xi} \in \beta \omega \setminus \omega$ . If  $\xi_1 \neq \xi_2 < \omega_1$ , then there exists  $\alpha < 2^{\omega}$  such that  $\xi_1 \in H_{\alpha}$  and  $\xi_2 \notin H_{\alpha}$  (for example, pick  $H_{\alpha} = \{\xi_1\}$ ). Hence  $A_{\alpha} \in U_{\xi_1}$  and  $\omega \setminus A_{\alpha} \in U_{\xi_2}$ , and therefore  $p_{\xi_1} \neq p_{\xi_2}$ .

Let  $X = \omega \cup \{p_{\xi} : \xi < \omega_1\} \subset \beta \omega$  endowed with the subspace topology of Stone-Čech compactification  $\beta \omega$ .

(a) Since  $\omega$  is a dense subset of  $\beta \omega$ ,  $\omega$  is dense in X. Hence, X is a separable space.

(b) X is a normal space. In fact, let A, B be disjoint closed subsets of X. There exists  $A \subseteq \omega_1$  such that  $A \setminus \omega = \{p_{\xi} : \xi \in A\}$ , and then there exists  $\alpha_0 < 2^{\omega}$  such that  $H_{\alpha_0} = A$ . For each  $\xi \in A = H_{\alpha_0}$ , we have that  $A_{\alpha_0} \in U_{\xi} \subseteq p_{\xi}$ , hence  $p_{\xi} \in A^*_{\alpha_0}$ , and thus  $A \setminus \omega \subset A^*_{\alpha_0}$ . For each  $p_{\eta} \in B$ , we have that  $\eta \notin A = H_{\alpha_0}$ , hence  $\omega \setminus A_{\alpha_0} \in U_{\eta} \subseteq p_{\eta}$ , thus  $p_{\eta} \in (\omega \setminus A_{\alpha_0})^*$ , and therefore  $B \setminus \omega \subseteq (\omega \setminus A_{\alpha_0})^*$ . And it is easy to verify that  $A^*_{\alpha_0} \cap (\omega \setminus A_{\alpha_0})^* = \emptyset$ .

 $\operatorname{Set}$ 

$$U = [(\omega \cap A) \cup A^*_{\alpha_0}] \cap X \setminus B, \quad V = [(\omega \cap B) \cup (\omega \setminus A_{\alpha_0})^*] \cap X \setminus A.$$

Then U and V are disjoint open sets in X containing A and B, respectively.

(c)  $\{p_{\xi} : \xi < \omega_1\}$  is a discrete subset of X. In fact, for each  $\xi < \omega_1$ , there exists  $\alpha_0 < 2^{\omega}$  such that  $H_{\alpha_0} = \{\xi\}$ , thus  $A_{\alpha_0} \in U_{\xi} \subseteq p_{\xi}$ , and hence  $p_{\xi} \in A^*_{\alpha_0}$ . If  $\eta < \omega_1$  and  $\eta \neq \xi$ , then  $\eta \notin H_{\alpha_0}$ , thus  $\omega \setminus A_{\alpha_0} \in U_{\eta} \subseteq p_{\eta}$ , hence  $A_{\alpha_0} \notin p_{\eta}$ , and therefore  $p_{\eta} \notin A^*_{\alpha_0}$ .

Since  $\omega$  is an open subset of X,  $\{p_{\xi} : \xi < \omega_1\}$  is a closed discrete subset of X. Thus X is not an  $\omega_1$ -compact space. It follows from the fact that every separable paracompact space is a Lindelöf space [14, Corollary 5.1.26] that X is not a paracompact space. And since  $X = \omega \cup \{p_{\xi} : \xi < \omega_1\}$  is  $\sigma$ -closed discrete and every discrete family is relatively discrete, the space X is a quasi-paracompact space.

(1)⇒(4). Let X be a separable normal irreducible space. By Lemma 1.2, X is an  $\omega_1$ compact irreducible space, hence X is a Lindelöf space [18, Theorem 6.6.13], and therefore X is
a paracompact space. □

Since paracompactness is equivalent to Lindelöf property in separable regular spaces, we have the following corollary.

Corollary 2.3 The following statements are equivalent.

- (1)  $2^{\omega} < 2^{\omega_1}$ .
- (2) Every separable normal iso-Lindelöf space is Lindelöf.
- (3) Every separable normal quasi-paracompact space is Lindelöf.
- (4) Every separable normal irreducible space is Lindelöf.

Remark 2.4 The conditions of Theorem 2.2 and Corollary 2.3 are explained below.

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(1) Iso-Lindelöf spaces are a kind of very extensive spaces. Among the main covering properties, paracompact spaces  $\Rightarrow$  metacompact spaces (or subparacompact spaces if the spaces are  $T_2$ )  $\Rightarrow$  submetacompact spaces  $\Rightarrow$  quasi-paracompact spaces  $\Rightarrow$  weakly submetacompact spaces and irreducible spaces; weakly submetacompact spaces (or spaces which each closed subspace is irreducible)  $\Rightarrow$  iso-Lindelöf spaces [18, Chapter 6].

(2) There is a normal metacompact space satisfying the countable chain condition (i.e., CCC) which is not a paracompact space [13, Example J].

(3) There is a separable normal space which is not a paracompact space [21, Theorem].

By Theorem 2.2, if every separable normal iso-compact space is paracompact, then  $2^{\omega} < 2^{\omega_1}$ .

Question 2.5 Is every separable normal iso-compact space a paracompact space under  $2^{\omega} < 2^{\omega_1}$ ?

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